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Attorneys for Plaintiffs

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA**

Estate of JOSHUA CLAYPOLE,  
deceased, by and through SILVIA  
GUERSENZVAIG, as Administrator;  
SILVIA GUERSENZVAIG,

Plaintiff,

vs.

COUNTY OF SAN MATEO;  
SHERIFF GREG MUNKS, in his  
individual and official capacity;  
COUNTY OF MONTEREY;  
SHERIFF SCOTT MILLER, in his  
individual and official capacity;  
SERGEANT E. KAYE, in his  
individual and official capacity; CITY  
OF MONTEREY; MONTEREY  
POLICE DEPARTMENT CHIEF  
PHILIP PENKO, in his individual and  
official capacity; BRENT HALL, in his  
individual and official capacity;  
CALIFORNIA FORENSIC MEDICAL  
GROUP; DR. TAYLOR FITHIAN, in  
his individual and official capacity;  
COMMUNITY HOSPITAL OF  
MONTEREY PENINSULA; and  
DOES 1 through 30,

Defendants.

Case No: CV 14-02730 BLF

[Assigned to the Honorable Beth Labson  
Freeman - Courtroom 3]

**DECLARATION OF LORI RIFKIN  
AND EXHIBITS IN SUPPORT OF  
PLAINTIFFS' MOTION FOR  
SANCTIONS AND TO COMPEL  
DISCOVERY**

DATE: December 15, 2015  
TIME: 10:00 a.m.  
CRTRM: 5  
JUDGE: Hon. Paul Grewal

Complaint Filed: June 12, 2014  
FAC Filed: October 28, 2014  
Discovery Cut-Off: August 31, 2015  
Motion Cut-Off: January 14, 2016  
Trial Date: April 11, 2016

**DECLARATION OF LORI E. RIFKIN**

I, Lori E. Rifkin, declare:

1. I am an attorney admitted to practice law in California, a member of the bar of this Court, and counsel of record for Plaintiff. I have personal knowledge of the matters set forth herein, and if called as a witness I could competently so testify. I make this declaration in support of Plaintiffs' Notice of Motion and Motion for Sanctions and to Compel Discovery.

2. The Court-ordered deadline for expert disclosures in this case was September 28, 2015. Defendants CFMG and Fithian disclosed Dr. Richard Hayward as one of their experts and produced his expert report on September 28, 2015. After meeting and conferring with Defendants about date and location, on October 2, 2015, Plaintiffs noticed Dr. Hayward's deposition for October 16, 2015. Plaintiff served Plaintiffs' Notice of the Deposition of Defendant CFMG'S Retained Expert Richard Hayward, Ph.D. and Request for Production of Documents, a true and correct copy of which is attached hereto as **Exhibit A**.

3. On October 14, 2015 Plaintiffs were served with Defendants' Objections to the Request for Production of Documents to Hayward, a true and correct copy of which is attached hereto as **Exhibit B**. Defendants asserted only general objections to the extent the requests sought documents in violation of the Federal Rules of Civil Procedure and confidential and private third-party information, and did not state that they were refusing to produce any responsive documents.

4. On October 16, 2015, at the beginning of Dr. Hayward's deposition, Defendants' counsel, Peter Bertling, presented me with a DVD that he represented as Dr. Hayward's production of documents, along with a paper production of email communications between Dr. Hayward and Defendants' counsel. The DVD was physically cracked, rendering the disc useless. A true and correct photograph of the DVD as it was produced to me by Mr. Bertling is attached hereto as **Exhibit C**.

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1           5.       A true and correct copy of excerpts from Dr. Hayward's October 16, 2015  
2 deposition is attached hereto as **Exhibit D**. Mr. Bertling represented that he would have  
3 his office send the documents on the DVD electronically to me during the deposition. Ex.  
4 D at 8:1-18. I checked in with Mr. Bertling mid-way through the deposition because I  
5 had not received the production of documents and Mr. Bertling again agreed to ensure that  
6 this happened. However, this did not occur. Plaintiffs reserved their right on the record to  
7 hold the deposition of Dr. Hayward open pending production of those documents. Ex. D  
8 at 188:18-189:1.

9           6.       I confirmed with Dr. Hayward that he had produced documents responsive  
10 to Plaintiffs' requests for production and he believed that those were on the broken DVD,  
11 but was unable to probe Dr. Hayward further regarding the information because it was not  
12 accessible via the broken DVD. Ex. D at 7:2-8:18.

13           7.       By October 21, 2015, Defendants still had not produced the documents  
14 responsive to the Hayward Requests for Production. I contacted Mr. Bertling by email on  
15 October 21, 2015 regarding the missing production, and, Defendants' counsel then  
16 produced four documents to Plaintiffs that it represented as Dr. Hayward's production.  
17 These documents consisted solely of a duplicate production of Dr. Hayward's expert  
18 report, Plaintiffs' expert disclosure, Plaintiff's expert's report, and the emails between Dr.  
19 Hayward and Defendants' counsel that were already produced on paper. I attempted to  
20 meet and confer with Mr. Bertling on October 26 regarding the apparent discrepancies  
21 between the documents requested, documents produced, and Dr. Hayward's testimony on  
22 this issue. Mr. Bertling responded on October 26 by asking Plaintiffs' counsel, "What do  
23 you believe we should be producing that has not already been produced? You already  
24 have many of the documents referred to by Dr. Hayward in his report." I responded by  
25 email to Mr. Bertling on October 27 explaining the issues in dispute. Mr. Bertling  
26 declined to further meet and confer. At no time did Mr. Bertling clarify what documents  
27 were actually on the broken DVD or whether he was actually representing that no  
28 responsive documents existed beyond Dr. Hayward's report and Plaintiff's expert

1 disclosures. A true and correct copy of the e-mail exchange between myself and  
2 Defendants' counsel on October 21, 26, and 27 is attached hereto as **Exhibit E**. No  
3 additional documents have been produced by Defendants in response to Plaintiffs'  
4 Requests for Production to Dr. Hayward.

5 8. Plaintiff's counsel received the transcript of Dr. Hayward's deposition on  
6 October 30, 2015.

7 9. Mr. Bertling's conduct through the discovery period has required me to  
8 spend unreasonable amounts of time negotiating and re-negotiating deposition dates for  
9 Dr. Fithian and whether CFMG would produce certain witnesses for noticed depositions.  
10 For example, after extensively meeting and conferring in June and early July with Mr.  
11 Bertling's associate, Jemma Saunders, and arriving at agreements about the date of Dr.  
12 Fithian's first deposition, and the process for deposing CFMG former employees  
13 (reflected in a letter from Ms. Saunders on July 6, 2015), on July 21, 2015, Mr. Bertling  
14 notified me that date would no longer work for Dr. Fithian and reversed CFMG's position  
15 on produce the former CFMG employees. I then had to spend almost the entirety of three  
16 days from July 21, 2015 through July 24, 2015 speaking with Mr. Bertling by phone and  
17 emailing him—during which he demanded "proof" that anyone from his office had agreed  
18 to this despite it being in clear letter and e-mail communications with Ms. Saunders—and  
19 working with my co-counsel to prepare the witness subpoenas Mr. Bertling demanded,  
20 and then reversed himself again, before Mr. Bertling would agree to set dates for the  
21 depositions. A true and correct copy of Ms. Saunders' July 6, 2015 letter setting forth the  
22 date for Dr. Fithian's deposition, and subsequent late July email exchanges with Mr.  
23 Bertling is attached hereto as **Exhibit F**.

24 10. Ms. Saunders' letter also clearly lays out Defendants' demand that Dr.  
25 Fithian's individual deposition be started on the same day as his 30(b)(6) deposition, and  
26 Mr. Bertling repeated this demand in his July 24, 2015 7:46 a.m. email. However, Mr.  
27 Bertling then reversed his position mid-way through the 30(b)(6) deposition without prior  
28 notice to Plaintiffs' counsel. Based on Defendants' counsels' demand—to which

1 Plaintiffs agreed—I spent considerable time preparing to take Dr. Fithian’s individual  
2 deposition prior on July 31, 2015.

3 11. During Dr. Fithian’s individual deposition on August 31, 2015, which  
4 followed the Court’s decision substantially granting Plaintiff’s motion to compel, Mr.  
5 Bertling again instructed Dr. Fithian not to answer various questions, including those  
6 about his salary, income and personal finances, and the positions he holds with CFMG-  
7 related business entities. A true and correct copy of excerpts of the August 31, 2015  
8 deposition transcript for Dr. Fithian are attached hereto as **Exhibit G**. Plaintiffs’ counsel  
9 requested a same-day telephonic hearing with Magistrate Judge Grewal.

10 12. Toward the beginning of Dr. Fithian’s individual deposition, while I was  
11 moving to strike an answer as non-responsive, Mr. Bertling interrupted me and when I  
12 requested that he not interrupt me, Mr. Bertling told me, “Move on with your next  
13 question and don’t raise your voice at me. It’s not becoming of a woman or an attorney  
14 who is acting professionally under the rules of professional responsibility.” Ex. G at 28:5-  
15 21.

16 13. Throughout the rest of Dr. Fithian’s individual deposition, his second  
17 30(b)(6) deposition, and the depositions of CFMG’s experts, Mr. Bertling engaged in  
18 extensive speaking objections, editorial comments, coaching and suggesting of testimony  
19 to witnesses, physically pointing witnesses to specific pages and parts of documents,  
20 interrupting deponents and speaking to them during answers, and even answering  
21 questions himself in this and subsequent depositions. As evidenced in the transcripts, all  
22 of my efforts to meet and confer with Mr. Bertling regarding this improper behavior were  
23 met with “instructions” to me from Mr. Bertling to the effect of “move on” or “ask [my]  
24 next question.”

25 14. A true and correct copy of excerpts of the deposition transcript of Dr.  
26 Fithian’s second 30(b)(6) deposition on September 7, 2015 are attached hereto as **Exhibit**  
27 **H**.

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1           15.       A true and correct copy of excerpts of the deposition transcript of Dr. Roof  
2 on October 13, 2015 are attached hereto as **Exhibit I**.

3           16.       A true and correct copy of excerpts of the deposition transcript of Dr.  
4 Fithian's 30(b)(6) deposition on July 31, 2015 are attached hereto as **Exhibit J**.

5           17.       It would have been inefficient and burdensome for Plaintiffs' counsel to  
6 contact the Court during each CFMG-related deposition conducted where Mr. Bertling  
7 improperly obstructed discovery. Rather, I focused on making the best use of the  
8 deposition time possible. Especially during the short course of expert discovery,  
9 Plaintiffs' counsel necessarily needed to focus our resources on preparing for and taking  
10 depositions. However, this does not render Mr. Bertling's behavior nonprejudicial or  
11 harmful.

12           18.       It will be necessary to wait for the completion of proceedings on the instant  
13 motion to provide the Court a comprehensive itemized list of otherwise unnecessary  
14 expenses including attorney fees and costs resulting from Mr. Bertling's improper  
15 behavior because the entirety of this motion and briefing is included in that amount.  
16 However, prior to this motion, I spent approximately 21.4 hours in discovery negotiations  
17 and consultation with co-counsel during July 21-24, 2015 required only because of Mr.  
18 Bertling's unreasonable and unjustified reversal of his firm's prior positions, and working  
19 with support staff to prepare subpoenas for witnesses CFMG previously had agreed to  
20 produce without subpoenas, and then later again agreed to produce without subpoenas.  
21 Hadsell Stormer & Renick support staff spent approximately 3.4 hours working on these  
22 matters. The rate for Hadsell Stormer & Renick support staff is \$175/hour. I spent  
23 approximately 14.9 hours preparing for and taking Dr. Fithian's second 30(b)(6)  
24 deposition on September 7, which was rendered necessary because of Mr. Bertling's  
25 improper instructions not to answer. Plaintiffs' counsel Hadsell Stormer & Renick  
26 incurred \$1,624.50 in additional costs for this second day of 30(b)(6) deposition. I spent  
27 approximately 4 hours preparing to take a portion of Dr. Fithian's individual deposition on  
28 July 31, 2015, as Defendants' counsel had demanded but then disallowed. Finally,

1 considerable amounts of time during each of the depositions referenced in this motion  
2 were taken up by Mr. Bertling's improper and unprofessional conduct.

3 19. My 2015 hourly fee rate is \$550/hour. This is a reasonable fee for civil  
4 rights litigation by an attorney of my expertise and experience in the San Francisco Bay  
5 Area.

6 20. I am a 2004 graduate of New York University School of Law. Since  
7 graduation, I have practiced civil rights litigation as a staff attorney at the American Civil  
8 Liberties Unions of Connecticut and Southern California, an associate at the public  
9 interest law firm of Rosen, Bien, Galvan & Grunfeld, an attorney at the Legal Aid  
10 Society-Employment Law Center, and as a senior trial attorney in the Special Litigation  
11 Section of the Civil Rights Division of the United States Department of Justice. I have  
12 extensive experience litigating unconstitutional prison and jail conditions, including,  
13 among others, *Coleman v. Brown*, E.D. Cal. Case No. 2:90-cv-00520-KJM-DAD. I am  
14 admitted to the California, New York (inactive), and Connecticut (inactive) State Bars; the  
15 Eastern, Northern, and Central Federal District Courts in California; and the Ninth Circuit  
16 Court of Appeals.

17 21. In late 2013, I founded my own civil rights practice, Rifkin Law Office, in  
18 which I handle complex civil rights matters. In addition to representing clients directly in  
19 federal civil rights litigation, I am often consulted by other attorneys working on civil  
20 rights matters, including jail and prison litigation. A copy of my resume is attached hereto  
21 as **Exhibit K**.

22 22. Civil rights actions against law enforcement agencies are inherently difficult  
23 and complex. Such cases require a law firm to make significant investments of attorney  
24 time and in discovery and expert witness fees. There are relatively few lawyers and law  
25 firm that are willing to handle such cases. My firm is regularly approached by victims  
26 and family members of law enforcement misconduct seeking representation for matters  
27 throughout the State of California.

28 ///



  
Lori E. Rifkin



Rifkin Decl. in Supp of Mtn to Compel  
Discovery & for Sanctions

**Exh. A**

Dan Stormer, Esq. [S.B. # 101967]  
 Josh Piovia-Scott, Esq. [S.B. #222364]  
 Mohammad Tajsar, Esq. [S.B. #280152]  
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Attorneys for Plaintiff

**UNITED STATES DISTRICT COURT  
 NORTHERN DISTRICT OF CALIFORNIA**

Estate of JOSHUA CLAYPOLE,  
 deceased, by and through SILVIA  
 GUERSENZVAIG, as Administrator;  
 SILVIA GUERSENZVAIG,

Plaintiff,

vs.

COUNTY OF SAN MATEO; SHERIFF  
 GREG MUNKS, in his individual and  
 official capacity; COUNTY OF  
 MONTEREY; SHERIFF SCOTT  
 MILLER, in his individual and official  
 capacity; SERGEANT E. KAYE, in her  
 individual capacity; CITY OF  
 MONTEREY; MONTEREY POLICE  
 DEPARTMENT CHIEF PHILIP  
 PENKO, in his official capacity;  
 BRENT HALL, in his individual and  
 official capacity; CALIFORNIA  
 FORENSIC MEDICAL GROUP; DR.  
 TAYLOR FITHIAN, in his individual  
 capacity; COMMUNITY HOSPITAL  
 OF MONTEREY PENINSULA; and  
 DOES 1 through 30,

Defendants.

Case No: CV 14-02730 BLF

[Assigned to the Honorable Beth Labson  
 Freeman - Courtroom 3]

**PLAINTIFFS' NOTICE OF THE  
 DEPOSITION OF DEFENDANT  
 CALIFORNIA FORENSIC MEDICAL  
 GROUP'S RETAINED EXPERT  
 RICHARD HAYWARD, PH.D. AND  
 REQUEST FOR PRODUCTION OF  
 DOCUMENTS**

DATE: October 16, 2015  
 TIME: 9:30 a.m.  
 PLACE: Regus – San Mateo  
           951 Mariners Island Blvd.,  
           Suite 300  
           San Mateo, CA 94404

Complaint Filed: June 12, 2014  
 FAC Filed: October 28, 2014  
 Discovery Cut-Off: August 31, 2015  
 Motion Cut-Off: January 14, 2016  
 Trial Date: April 11, 2016

**TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD:**

**PLEASE TAKE NOTICE** that pursuant to Rule 30 of the Federal Rules of Civil Procedure, plaintiffs will take the deposition of Defendant California Forensic Medical Group's Retained Expert RICHARD HAYWARD, Ph.D. on October 16, 2015. Said deposition shall commence at 9:30 a.m. at offices of Rugus – San Mateo, 951 Mariners Island Boulevard, Suite 300, San Mateo, CA 94404.

**PLEASE TAKE FURTHER NOTICE** that said deposition will be taken upon oral examination by stenographic means before a notary public, or some other officer authorized by law to administer oaths. Plaintiffs reserve the right to record said deposition by videotape. If the deposition is not completed on October 16, 2015, it will be continued day to day thereafter, until completed, or at some mutually agreeable date within a reasonable period of time.

**I. DEFINITIONS**

1. The terms "YOU" or "YOUR" refer to, without limitation, Richard Hayward and all representatives, employees, agents, consultants, and any other person(s) acting or purporting to act on the Richard Hayward's behalf.

2. The terms "WRITING" or "DOCUMENTS" refer to the meaning prescribed in Rule 34 of the Federal Rules of Civil Procedure, and includes the original and every non-identical copy or reproduction in YOUR possession, custody, or control, and further is used in a broad sense to refer to any tangible object or electronic image or file that contains, conveys, or records information. WRITING or DOCUMENTS includes, but is not limited to, all of the following: papers, correspondence, emails, MS Excel files, MS Word files, MS PowerPoint files, text files, instant messages, postings on internet websites or blogs including Twitter and Facebook, training manuals, employee manuals, policy statements, trade letters, envelopes, memoranda, telegrams, cables, notes, messages, reports, studies, press releases, comparisons, books, accounts, checks, audio and video recordings and transcriptions thereof, pleadings, testimony, articles, bulletins,

1 pamphlets, brochures, magazines, questionnaires, surveys, charts, maps, plans,  
 2 graphs, computer programs, photographs, newspapers, calendars, desk calendars,  
 3 pocket calendars, lists, logs, publications, notices, diagrams, instructions, diaries,  
 4 minutes of meetings, orders, resolutions, agendas, memorials or notes of oral  
 5 communications (whether by telephone or face-to-face), contracts, agreements,  
 6 memoranda of understanding, and letters of intent. WRITING or DOCUMENTS  
 7 includes any writings recorded or stored in any medium or location, including  
 8 desktop computers, laptops, PDAs, cell phones, home computers used for work,  
 9 calendars, computer tapes, computer drives or memories, computer diskettes or  
 10 disks, email, CD-ROMs, DVDs, BlackBerrys, iPhones, or other similar handheld  
 11 devices used to send and receive electronic mail, instant messaging ("IM"), blog or  
 12 other internet or intranet posting, text messages, Twitter postings, Facebook  
 13 postings, or any other tangible thing on which any handwriting, typing, printing,  
 14 photostatic, electronic or other form of communication or information is recorded  
 15 or reproduced. WRITING or DOCUMENTS also includes all notations on any of  
 16 the foregoing; all originals, file copies or other unique copies of the foregoing; all  
 17 versions or drafts of the foregoing, whether used or not; and all metadata.

18 3. "RELATING" or "RELATING TO" means referring, regarding,  
 19 describing, referencing, or pertaining, in any manner whatsoever, in whole or in  
 20 part, directly or indirectly, implicitly or explicitly.

## 21 II. REQUEST FOR PRODUCTION OF DOCUMENTS

22 PLEASE TAKE FURTHER NOTICE that the deponent named above is  
 23 required to produce the following documents, writings, papers, and items which are  
 24 in the possession, custody, or control of the deponent, the defendants, the  
 25 defendants' attorneys, or the defendants' agents retaining the deponent:

26 1. Any and all DOCUMENTS YOU reviewed RELATING TO YOUR  
 27 assessment of the provision of mental health treatment and/or suicide prevention at  
 28 Monterey County Jail offered in YOUR May 30, 2014 Report (submitted as pages

1 17-21 of YOUR Report in this action), and which have not been previously  
2 produced in this action.

3 2. Any and all documents YOU reviewed RELATING TO YOUR  
4 assessment of the provision of mental health treatment and/or suicide prevention at  
5 Monterey County Jail offered in YOUR September 27, 2015 Report (submitted as  
6 pages 8-16 of YOUR Report in this action), and which have not been previously  
7 produced in this action.

8 3. Any and all DOCUMENTS including but not limited to notes,  
9 dictations, e-mails or other communications, summaries, and/or reports, YOU made  
10 in connection with YOUR surveys and/or visits of Monterey County correctional  
11 facilities in September 2013, October 2013, and May 2014, as referenced in YOUR  
12 Report submitted in this action.

13 4. Any and all DOCUMENTS reflecting YOUR notes, observations,  
14 opinions, questions, conclusions, recommendations, and/or communications  
15 RELATING TO any suicides or suicide attempts occurring at Monterey County Jail  
16 prior to January 1, 2014.

17 5. Any and all DOCUMENTS reflecting YOUR communications with  
18 Defendants, or their employees, agents, and/or attorneys RELATING TO YOUR  
19 recommendations for changes or improvements to the Monterey County Jail  
20 Suicide Prevention Program as referenced on pages 15-16 of YOUR Report  
21 submitted in this action.

22 6. Any and all DOCUMENTS reflecting YOUR communications with  
23 Plaintiffs in the Hernandez litigation, or their agents and/or attorneys RELATING  
24 TO YOUR recommendations for changes or improvements to the Monterey County  
25 Jail Suicide Prevention Program as referenced on pages 15-16 of YOUR Report  
26 submitted in this action.

27 7. Any and all DOCUMENTS reflecting YOUR communications with  
28 Defendants, or their employees, agents, and/or attorneys RELATING TO YOUR

1 recommendations for changes or improvements to the mental health services at  
2 Monterey County Jail as referenced on pages 19-35 of YOUR Report submitted in  
3 this action.

4 8. Any and all DOCUMENTS reflecting YOUR communications with  
5 Plaintiffs in the Hernandez litigation, or their agents and/or attorneys RELATING  
6 TO YOUR recommendations for changes or improvements to the mental health  
7 services at Monterey County Jail as referenced on pages 19-35 of YOUR Report  
8 submitted in this action.

9 9. All "Incident Reports on suicides, suicide attempts and placement in  
10 the Safety Cells at the Monterey County Correctional Facilities from 2010 through  
11 October 25, 2013" as referenced on page 8 of YOUR Report in this action.

12 10. "The report of the inspection of the Monterey County Main Jail by the  
13 Corrections Standards Authority (now the Board of State and Community  
14 Corrections) dated May 10, 2010," as referenced on page 9 of YOUR Report in this  
15 action.

16 11. Any and all DOCUMENTS YOU reviewed in connection with YOUR  
17 role as a consultant for the Hernandez litigation that YOU relied on and/or form the  
18 basis for any of the opinions YOU offered in pages 8-21 of YOUR Report in this  
19 action, and which have not been previously produced in this action.

20 12. Any and all DOCUMENTS reflecting YOUR communications  
21 RELATING TO provision of mental health treatment and/or suicide prevention at  
22 Monterey County Jail, including but not limited to YOUR communications with  
23 other consultants, retained experts, non-retained experts, CFMG personnel,  
24 Monterey County personnel, and/or attorneys representing any party in the  
25 Hernandez litigation, except those which are specifically exempt under the trial  
26 preparation protection pursuant to Fed R. Civ. P. 26(b)(4)(C).

27 13. Any and all DOCUMENTS, including but not limited to written or  
28 electronic communications, RELATING TO YOUR retention as an expert in this



1 action.

2 14. Any WRITING provided to YOU by defendants' counsel at any time  
3 since YOU have been retained as an expert witness in this case.

4 15. Any WRITING YOU have relied upon in formulating the opinions and  
5 recommendations which are set forth in YOUR September 27, 2015 report which  
6 was served with defendants' Expert Witness Disclosure.

7 16. Any WRITING which reflects the facts and data considered in  
8 formation of YOUR opinions and recommendations as set forth in YOUR  
9 September 27, 2015 report which was served with defendants' Expert Witness  
10 Disclosure.

11 17. Any WRITING which YOU have sent to defendants' counsel  
12 regarding this case.

13 18. Any WRITING which has been exchanged between YOU and  
14 defendants' counsel regarding this case.

15 19. Any WRITING prepared by YOU in connection with YOUR services  
16 as an expert witness in this case. This request includes, but is not limited to, any  
17 preliminary drafts, revised drafts or final reports which YOU have authored.

18 20. Any WRITING which reflects the amount of time and billings YOU  
19 have generated as an expert witness in this case.

20 21. Any articles which YOU have read, reviewed, or relied upon that  
21 support any of the opinions or recommendations set forth in YOUR September 27,  
22 2015 report which was served with defendants' Expert Witness Disclosure.

23 22. Any WRITING which was prepared by anyone assisting YOU in  
24 YOUR services as an expert witness in this case.

25 23. A copy of YOUR current curriculum vitae.

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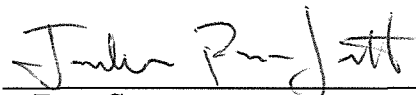
1           24. A copy of YOUR testimonial history as described by FRCP Rule  
2 26(a)(2)(B)(v).  
3

4 Dated: October 2, 2015

Respectfully Submitted,

5 RIFKIN LAW OFFICE

6 HADSELL STORMER & RENICK LLP  
7

8 By: 

9 Dan Stormer  
10 Joshua Piovia-Scott  
11 Mohammad Tajsar  
12 Attorneys for Plaintiffs  
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**PROOF OF SERVICE**

I am employed in the county of Los Angeles, State of California. I am over the age of 18 and not a party to the within action; my business address is 128 N. Fair Oaks Avenue, Pasadena, California 91103.

On October 2, 2015, I served the foregoing document described as:  
**PLAINTIFFS' NOTICE OF THE DEPOSITION OF DEFENDANT CALIFORNIA FORENSIC MEDICAL GROUP'S RETAINED EXPERT RICHARD HAYWARD, PH.D. AND REQUEST FOR PRODUCTION OF DOCUMENTS** on the interested parties in this cause as follows:

**SEE ATTACHED SERVICE LIST**

**XX BY E-MAIL**

XX I served the above-mentioned document electronically on the parties listed at the e-mail addresses below and, to the best of my knowledge, the transmission was complete and without error in that I did not receive an electronic notification to the contrary.

Executed on October 2, 2015, at Pasadena, California.

XX (Federal) I declare that I am employed in the office of a member of the bar of this Court at whose direction the serve was made.

  
\_\_\_\_\_  
Jessica Yaldenegro  
Declarant

## SERVICE LIST

<p>Charles J. McKee, SBN 152458 County Counsel Susan K. Blitch, SBN 187761 Senior Deputy County Counsel Irv Grant, SB 68950 Deputy County Counsel County of Monterey 168 West Alisal Street, Third Floor Salinas, CA 93901-2653 Email: blitchsk@co.monterey.ca.us granti@co.monterey.ca.us ZinmanK@co.monterey.ca.us (assistant)</p>	<p><b>Attorneys for Defendants, COUNTY OF MONTEREY, SHERIFF SCOTT MILLER, and SERGEANT E. KAYE</b></p>
<p>Vincent P. Hurley #111215 Amanda Cohen #243946 Rachel Ostrander #291890 Ryan Thompson #292281 LAW OFFICES OF VINCENT P. HURLEY A Professional Corporation 38 Seascap Village Aptos, California 95003 Email: vphurley@hurleylaw.com acohen@hurleylaw.com rostrander@hurleylaw.com rthompson@hurleylaw.com</p>	<p><b>Attorneys for Defendants, CITY OF MONTEREY, CHIEF PHILIP PENKO, and OFFICER BRENT HALL</b></p>
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Rifkin Decl. in Supp of Mtn to Compel  
Discovery & for Sanctions

**Exh. B**

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UNITED STATES DISTRICT COURT  
 FOR THE NORTHERN DISTRICT OF CALIFORNIA

Estate of JOSHUA CLAYPOLE,  
 deceased, by and through SILVIA  
 GUERSENZVAIG, as Administrator;  
 SILVIA GUERSENZVAIG,

Plaintiff,

v.

COUNTY OF SAN MATEO;  
 SHERIFF GREG MUNKS, in his  
 individual and official capacity;  
 COUNTY OF MONTEREY;  
 SHERIFF SCOTT MILLER, in his  
 individual and official capacity;  
 SERGEANT E. KAYE, in his  
 individual and official capacity; CITY OF  
 MONTEREY; MONTEREY POLICE  
 DEPARTMENT CHIEF PHILIP PENKO, in  
 his individual and official capacity; BRENT  
 HALL in his individual and official capacity;  
 CALIFORNIA FORENSIC MEDICAL  
 GROUP; DR. TAYLOR FITHIAN, in his  
 individual and official capacity;  
 COMMUNITY HOSPITAL OF  
 MONTEREY PENINSULA; and DOES 1  
 through 30.

Defendants.

Case No. 5:14-cv 02730 BLF

**OBJECTIONS TO THE REQUEST  
 FOR PRODUCTION OF  
 DOCUMENTS ASSOCIATED WITH  
 THE DEPOSITION NOTICE OF  
 RICHARD HAYWARD, PH.D.**

Action Filed: June 12, 2014  
 Trial Date: April 11, 2016  
 PTR Conf: March 31, 2016  
 Judge: Hon. Beth Labson  
 Freeman

Defendants California Forensic Medical Group, Inc. and Taylor Fithian, M.D.  
 hereby object to the deposition notice with demand for production of documents issued  
 to Richard Hayward, Ph.D. by plaintiffs as follows:

1 Defendants object to each and every request for production to the extent it seeks  
2 documents in violation of Federal Rule of Civil Procedure Rule 26(b)(4)(B) and (C) and  
3 Federal Rule of Civil Procedure Rule 26(b)(3)(A).

4 Defendants further object to each and every request for production to the extent it  
5 seeks confidential and private third-party information and hereby designate any and all  
6 information produced containing such information as CONFIDENTIAL INFORMATION  
7 pursuant to this matter's entered protective order.

8 DATED: October 13, 2015.

BERTLING & CLAUSEN, L.L.P.

9  
10  
11 By: /s/ Jemma Parker Saunders  
12 Peter G. Bertling  
13 Jemma Parker Saunders  
14 Attorneys for Defendants  
15 CALIFORNIA FORENSIC  
16 MEDICAL GROUP, INC. and  
17 TAYLOR FITHIAN, M.D.  
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UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

Estate of JOSHUA CLAYPOLE,  
deceased, by and through SILVIA  
GUERSENZVAIG, as Administrator;  
SILVIA GUERSENZVAIG,

Plaintiff,

v.

COUNTY OF SAN MATEO;  
SHERIFF GREG MUNKS, in his  
individual and official capacity;  
COUNTY OF MONTEREY;  
SHERIFF SCOTT MILLER, in his  
individual and official capacity;  
SERGEANT E. KAYE, in his  
individual and official capacity; CITY OF  
MONTEREY; MONTEREY POLICE  
DEPARTMENT CHIEF PHILIP PENKO, in  
his individual and official capacity; BRENT  
HALL in his individual and official capacity;  
CALIFORNIA FORENSIC MEDICAL  
GROUP; DR. TAYLOR FITHIAN, in his  
individual and official capacity;  
COMMUNITY HOSPITAL OF  
MONTEREY PENINSULA; and DOES 1  
through 30.

Defendants.

Case No. CV 5:14 02730 BLF

**CERTIFICATE OF SERVICE**

Action Filed: June 12, 2014  
Trial Date: April 11, 2016  
PTR Conf: March 31, 2016  
Judge: Hon. Beth Labson  
Freeman

I am employed in the County of Santa Barbara, State of California. I am over the  
age of 18 years and not a party to the within action. My business address is 15 West  
Carrillo Street, Suite 100, Santa Barbara, California 93101. My email address is



1 jam@bertling-clausen.com.

2 On **October 14, 2015**, I served a true copy of the following document:

- 3 • **OBJECTIONS TO THE REQUEST FOR PRODUCTION OF**  
4 **DOCUMENTS ASSOCIATED WITH THE DEPOSITION NOTICE**  
5 **OF RICHARD HAYWARD, PH.D.**

6 ☒ BY ELECTRONIC SERVICE (E-Mail)

7 By electronically transmitting the document listed above to the email  
8 addresses on the attached Service List.

9  
10  
11 ☒ **FEDERAL** I declare under penalty of perjury under the laws of the United States of  
12 America that the above is true and correct and that I am employed in the  
13 office of a member of the bar of this Court at whose direction the service  
was made.

14 Executed on **October 14, 2015**, at Santa Barbara, California.

15  
16 /s/  
Joan McMaster

17  
18 I hereby attest that I have on file all holograph signatures for any signatures  
19 indicated by a “conformed” signature (/s/) within this e-filed document.  
20  
21  
22  
23  
24  
25  
26  
27  
28

**SERVICE LIST**

*Joshua Claypole et al. v. County of San Mateo, et al.*  
U.S.D.C. - Northern District of California, Case No. 5:14-cv-02730 BLF

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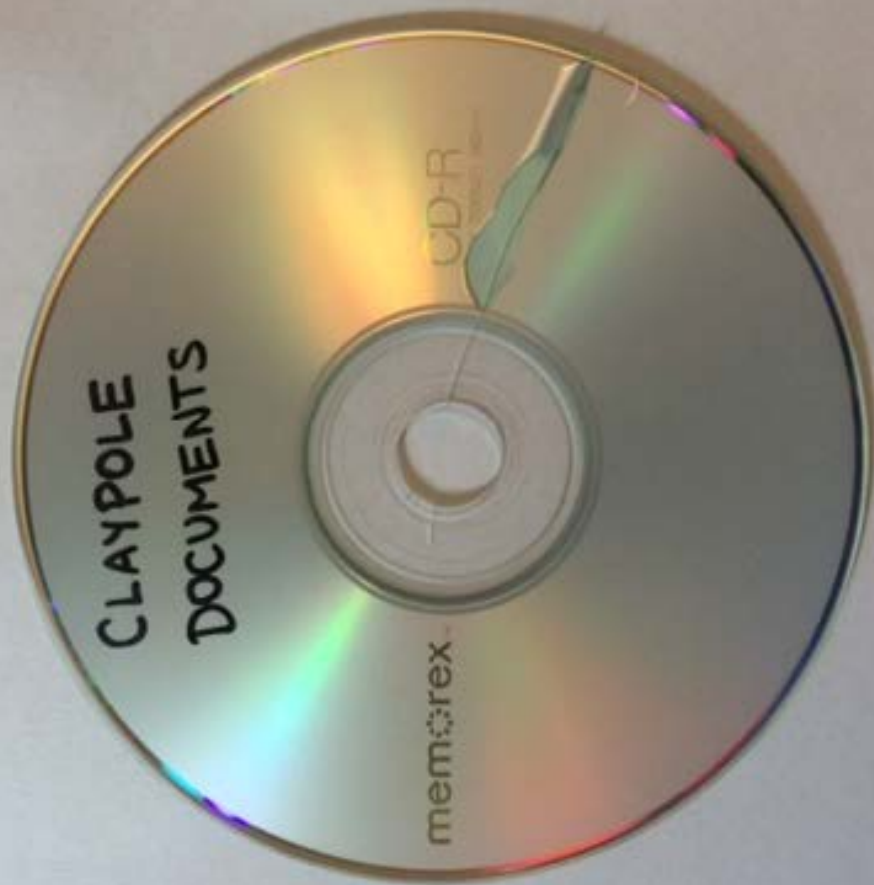
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Rifkin Decl. in Supp of Mtn to Compel  
Discovery & for Sanctions

**Exh. C**



Rifkin Decl. in Supp of Mtn to Compel  
Discovery & for Sanctions

**Exh. D**

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

--o0o--

Estate of JOSHUA CLAYPOLE, )  
deceased, by and through )  
SILVIA GUERSENZVAIG, as )  
Administrator; SILVIA )  
GUERSENZVAIG, )

Plaintiff, )

vs. )

Case No. CV 14-02730 BLF

COUNTY OF SAN MATEO; )  
et al., )

Defendants. )

--o0o--

Friday, October 16, 2015

--o0o--

DEPOSITION OF

RICHARD HAYWARD, Ph.D.

--o0o--

Reported by: CARI VALLO  
California CSR No. 6484  
Registered Professional Reporter



KARYN ABBOTT  
& ASSOCIATES

COURT REPORTERS  
70 S. Lake Avenue, 10th Floor  
Pasadena, California 91101  
Phone 213.749.1234 | 213.749.0644

1 BY MS. RIFKIN:

2 Q. Dr. Hayward, what's been marked as Exhibit 119  
3 and handed to you is Plaintiff's notice of your  
4 deposition. Have you seen this document before?

5 A. Yes, I believe I have.

6 Q. And part of this document is a request for  
7 production of various documents. Did you review those  
8 requests?

9 A. Yes.

10 Q. And did you provide any documents to be  
11 produced in response to those requests?

12 A. The broken DVD or CD contains those documents,  
13 yes.

14 Q. And you're referencing, Mr. Bertling handed me  
15 a DVD before we started with some of the document  
16 production, and it is broken. So it will not be able  
17 to be accessed by me.

18 MR. BERTLING: We've also produced emails  
19 exchanged between Dr. Hayward, myself, and I think  
20 other people in my office.

21 BY MS. RIFKIN:

22 Q. And is it your understanding, Dr. Hayward,  
23 that all of the documents that you provided to Counsel  
24 were going to be on this CD in addition to the emails?

25 A. Yes, between the two.



1 MS. RIFKIN: And Mr. Bertling, are you able to  
2 provide either another DVD to me today or to send them  
3 to me via email or Dropbox prior to the end of this  
4 deposition?

5 MR. BERTLING: I'm sure I can have them do  
6 that.

7 MS. RIFKIN: Okay. Thank you.

8 MR. BERTLING: If you have a Dropbox or  
9 wherever you want them sent, I can send my assistant an  
10 email right now and ask her to send you everything on  
11 the disc.

12 MS. RIFKIN: I assume it's too big for email.  
13 That's just why.

14 MR. BERTLING: Do you have a Dropbox? If you  
15 want to forward that to me, I'll be glad to have her  
16 send it there.

17 MS. RIFKIN: Okay. It's my email address.

18 MR. BERTLING: Okay.

19 BY MS. RIFKIN:

20 Q. Dr. Hayward, did you review the emails that  
21 were produced? That's the stack of emails that were  
22 produced this morning.

23 A. I have not reviewed them recently. I -- let  
24 me clarify. I have not reviewed all of them recently.  
25 I have reviewed probably the last dozen emails

1 A. Bryce? I'm not -- that's not --

2 Q. So you don't think you did?

3 A. I don't recall seeing that.

4 Q. Other than -- so we talked about documents  
5 you've received since you wrote this report. Do you  
6 believe that this list offered in number 1 on page 8 to  
7 9 contains all of the materials that you relied on or  
8 referenced in forming your opinions in this case?

9 A. I believe so, yes.

10 Q. Ah, and now I see the hours of review.

11 A. On the same page, yes.

12 Q. Okay. So if we can turn to page 11 of 40, you  
13 list as opinion number 1 -- or you list opinion number  
14 1 on this page, that the suicide prevention plan  
15 developed by CFMG at the Monterey County Adult  
16 Detention Facility met the minimal standards of the  
17 Title 15 regulations prior to and at the time of the  
18 suicide of Joshua Claypole on May 4th, 2014.

19 Is that an accurate description of your  
20 opinion?

21 A. Yes.

22 Q. And what is the basis for that opinion,  
23 Dr. Hayward?

24 MR. BERTLING: Other than what's set forth in  
25 his report?

1 BY MS. RIFKIN:

2 Q. Do you understand my question, Doctor?

3 MR. BERTLING: Well, my question is whether  
4 it's other than what's set forth in his report?

5 BY MS. RIFKIN:

6 Q. What is the basis for your -- whether set  
7 forth in your report or not, what are the bases for  
8 your --

9 MR. BERTLING: Well, the report speaks for  
10 itself. Are you asking for whether there's anything  
11 above and beyond that report?

12 MS. RIFKIN: No. I'm asking Dr. Hayward what  
13 the bases for his opinion that he's prepared to express  
14 are.

15 MR. BERTLING: Other than what's set forth in  
16 his report? I mean, he has numerous opinions and  
17 bases. Are you asking him for something above and  
18 beyond that?

19 BY MS. RIFKIN:

20 Q. On what information did you base your  
21 opinion -- or interviews or inspections or documents or  
22 other information did you base your opinion that the  
23 suicide prevention plan developed by CFMG at Monterey  
24 County met the minimal standards of Title 15  
25 regulations at the time we're talking about?

1 A. I just have what you gave me.

2 So looking at May 4, 2013, when he was  
3 released from suicide watch, I see in the record "DC  
4 suicide watch," which stands for discontinue suicide  
5 watch. This was at 0620 on the morning of May 4, 2013.

6 Telephone order from Dr. Fithian. That was  
7 with and recorded by Nurse Shene. I believe it's  
8 pronounced "sheen."

9 And the treatment plan then was -- treatment  
10 plan was discontinue suicide watch, begin trazodone,  
11 100 milligrams at HS, for 30 days.

12 And then it says that that was set up at 0630  
13 on May 4, and there's the nurse that set it up.

14 When I say set up, that would be -- that would  
15 be set up the trazodone, I'm sure the nurse is  
16 referring to there.

17 Q. Okay. And just for the record, you're looking  
18 at the page that says "Doctor Orders?"

19 A. "Doctors Orders," yes.

20 Q. I think it -- I can't read the Bates stamp  
21 from here, but it's CMFG-JC --

22 A. Four zero 25.

23 Q. Okay. 000025?

24 A. Four zeroes and 25, yes.

25 Q. Do you -- in your opinion, is that an adequate

1 treatment plan when releasing somebody from -- well, in  
2 your opinion, was that an adequate treatment plan for  
3 Mr. Claypole when he was discharged from suicide watch?

4 MR. BERTLING: Well, it lacks foundation that  
5 that's all the treatment is. He was obviously set to  
6 see Dr. Fithian on Monday as well. So it's an  
7 incomplete hypothetical.

8 BY MS. RIFKIN:

9 Q. Dr. Hayward, is there any part of the  
10 treatment plan -- is there any additional part of the  
11 treatment plan that you can identify other than what  
12 you just stated as discontinue suicide watch and begin  
13 trazodone for 30 days?

14 Is there any other part of the treatment -- a  
15 treatment plan that you can identify for Joshua  
16 Claypole on May 4th?

17 MR. BERTLING: Are you referring to things  
18 that would be happening to him after he was released  
19 from the suicide cell?

20 Your question is vague and ambiguous.

21 MS. RIFKIN: I'm asking Dr. Hayward what his  
22 understanding of the treatment plan for Joshua Claypole  
23 was when he was released from suicide watch.

24 Peter, please stop testifying.

25 MR. BERTLING: And are you incorporating

1 things that had already been put into place before he  
2 was released on May 4th?

3 MS. RIFKIN: I'm not incorporating anything.  
4 I am asking Dr. --

5 MR. BERTLING: So that's why your question is  
6 vague and ambiguous. I ask that you clarify it.

7 Your question is vague and ambiguous as to are  
8 you talking about solely things that were ordered on  
9 May 4th or things that were included as part of the  
10 treatment to go forward after May 4th?

11 BY MS. RIFKIN:

12 Q. Dr. Hayward, I'll ask you again.

13 In your expert opinion, what was the treatment  
14 plan for Joshua Claypole when he was released from  
15 suicide watch on June -- on May 4th as you, as an  
16 expert, understand treatment plan?

17 MR. BERTLING: Vague and ambiguous as to what  
18 you mean by the term "treatment plan" under these  
19 circumstances and whether you're including things that  
20 had been part of the treatment plan before the release  
21 or that were just included as further treatment plan  
22 after the release.

23 MS. RIFKIN: And Peter, I'll note for the  
24 record that if you continue to testify, we will not pay  
25 Dr. Hayward for the time that you spent testifying.

1 MR. BERTLING: I am not testifying. I'm  
2 stating legitimate objections because I'm trying to get  
3 a clear understanding of what time period you're  
4 referring to when you say "the treatment plan."

5 You're aware that there were treatment plans  
6 already in place before May 4th. There were things  
7 added to it.

8 You're aware Dr. Fithian was scheduled to see  
9 the inmate on Monday and that that had been a change in  
10 the treatment plan.

11 So I'm just trying to get clarification  
12 regarding what you're referring to.

13 BY MS. RIFKIN:

14 Q. Dr. Hayward, as an expert evaluating all the  
15 different jails we've gone through and patients, do you  
16 have an understanding what the phrase "treatment plan"  
17 means to you?

18 A. Yes.

19 Q. And it's what we've talked about, what you  
20 described already, right?

21 A. Yes.

22 Q. Okay. So do you understand the question when  
23 I ask you in your opinion, as an expert, based on your  
24 understanding of a treatment plan, what the treatment  
25 plan was for Joshua Claypole on May 4th? Do you



1 understand what I'm asking?

2 A. Yes.

3 Q. Can you answer the question, please.

4 A. Yes.

5 I already covered the medication part of the  
6 treatment plan. There was a follow-up that I believe  
7 was scheduled with Dr. Fithian.

8 Q. On what do you base that belief, that there  
9 was a follow-up scheduled with Dr. Fithian?

10 A. On two things. One was that was a routine --  
11 that was the routine practice. When anybody was in the  
12 safety cell for suicide watch, the routine practice was  
13 they would be scheduled for the next available -- what  
14 they called the psychiatrist call, which was being seen  
15 by Dr. Fithian.

16 The next available would have been Monday when  
17 he -- he normally would come in Monday morning. So I  
18 believe he was scheduled to see Dr. Fithian on Monday.

19 Q. Monday, May 6th?

20 A. Yes, Monday, May 6th, yes.

21 And I also know that it was routine for the  
22 mental health clinicians to do a follow-up assessment.  
23 So he would be seen again either by Kim Spano or by  
24 Charlotte Gage, who was, at the time, the psychiatric  
25 nurse.

1 the documentation. It's right here.

2 THE WITNESS: That's a good point. We do have  
3 what she put in her -- in her log, in her assessment.  
4 I have to find out which one it is here, though.

5 MR. BERTLING: It's right here (indicating).

6 THE WITNESS: Okay. Her --

7 MS. RIFKIN: I just want the record to show  
8 Mr. Bertling pointing to a specific place on the page.

9 MR. BERTLING: Sure. I'm pointing to the --

10 MS. RIFKIN: I'm talking.

11 MR. BERTLING: -- 5/4 entry by Ms. Shene.

12 MS. RIFKIN: I ask that you don't interrupt me  
13 again, Mr. Bertling.

14 MR. BERTLING: Go ahead. Say what you need to  
15 say.

16 I just wanted to clarify what I was pointing  
17 to. It was her last entry. It helps to have the  
18 record clear.

19 THE WITNESS: So in the entry by Nurse  
20 Shene -- and this was dated 5/4/2013 at 0515 -- she  
21 writes: Denies suicidal ideation -- which is  
22 abbreviated as SI -- contracts for safety. Wants to be  
23 removed from SF cell. Requests meds to help him sleep.  
24 Calm, clear speech.

25 So yes, so that's -- so she has addressed

1 of this assessment?

2 A. Yes.

3 Q. Okay. Did Dr. Fithian complete a suicide risk  
4 assessment on the morning of May 2nd for Mr. Claypole?

5 A. Yes.

6 Q. And what do you base that opinion on?

7 A. On his chart note.

8 Q. And I just want the report to reflect that  
9 Mr. Bertling has taken the records from in front of  
10 you, so --

11 MR. BERTLING: I want to show him the chart  
12 note so he can see the chart note. Do you have a  
13 problem with that?

14 THE WITNESS: I would like to see the chart  
15 note to provide any details regarding his assessment.

16 BY MS. RIFKIN:

17 Q. Dr. Hayward, you've looked through medical  
18 files before, haven't you?

19 A. Yes, many.

20 Q. Do you consider yourself capable of  
21 identifying a chart note?

22 A. I probably have achieved that level of  
23 competency.

24 Q. So on what do you base your conclusion that  
25 Dr. Fithian completed a suicide risk assessment on the

1 open-ended questions about his current factors that we  
2 discussed a few moments ago?

3 A. He concluded that "Patient states he is stable  
4 now," and that indicates to me that he sufficiently and  
5 adequately covered Mr. Claypole's emotional state at  
6 the time of this assessment.

7 Q. And on what basis does that note indicate that  
8 to you?

9 A. He states that "He is stable now. He voiced  
10 no suicidal ideation or intent" --

11 Q. And I just want to clarify, because  
12 Dr. Fithian's note says that he states he's stable now,  
13 right, or patient states?

14 A. Yes.

15 Q. So in your opinion, is Dr. Fithian reporting  
16 what Mr. Claypole was stating, or is Dr. Fithian making  
17 a clinical judgment that Mr. Claypole was stable?

18 MR. BERTLING: Lacks foundation. Calls for  
19 speculation. Dr. Fithian could have been asking a  
20 number of questions that caused him to come to that  
21 conclusion.

22 BY MS. RIFKIN:

23 Q. How do you read that note?

24 A. So I read that note as saying that  
25 Mr. Claypole -- sorry -- Claypole stated that he's

1 Q. Do you know if Dr. Fithian asked about any  
2 prior suicidal statements during his meeting with  
3 Mr. Claypole on the morning of May 2nd?

4 MR. BERTLING: You mean other than what's in  
5 his record where he said he denied it?

6 THE WITNESS: Do I know if he asked about  
7 prior suicidal statements? I know his note indicates  
8 that he asked about current suicidal ideation or  
9 intent.

10 In terms of prior suicidal statements, that  
11 was -- that was on the nurse's assessment, that he  
12 denied that.

13 Dr. Fithian may have asked about it again, and  
14 that's something -- I'm going to say I reviewed his  
15 deposition. So that level of detail I'm not able to  
16 carry in my mind.

17 BY MS. RIFKIN:

18 Q. If you can turn to page 14 of 40 in your  
19 report.

20 A. Okay.

21 Q. So I'm looking at the -- well, both of your  
22 paragraphs that have your own statements in them. I'll  
23 start with, "The records" -- so it's the paragraph that  
24 starts with "The records again document." Do you see  
25 that?

1 person on the morning of May 2nd; is that right?

2 A. Yes.

3 Q. In conducting a suicide risk assessment of  
4 Mr. Claypole, subsequent to those statements that he  
5 made to Ms. Spano, in your opinion, should a suicide  
6 risk assessment have involved asking Mr. Claypole about  
7 the statements that he's made indicating suicidality?

8 MR. BERTLING: Well, he did.

9 THE WITNESS: I'm not sure what point of time  
10 you're talking about there.

11 BY MS. RIFKIN:

12 Q. So after Mr. Claypole was placed on suicide  
13 watch following those statements to Ms. Spano --

14 A. Yes.

15 Q. -- a suicide risk assessment conducted after  
16 any -- after that point at which he was placed on  
17 suicide watch.

18 A. This was the second time he was on --

19 Q. The second time he was on suicide watch.

20 A. -- suicide watch you're referring to?

21 Q. In your opinion, should a suicide risk  
22 assessment completed after that time have included  
23 questions to Mr. Claypole about those various suicidal  
24 statements he'd made to Ms. Spano?

25 MR. BERTLING: Well, it lacks foundation that

1 that didn't happen with Ms. Shene where she  
2 specifically noted he denied he was suicidal.

3 MS. RIFKIN: Thank you for your testimony,  
4 Mr. Bertling.

5 Q. Dr. Hayward, can you provide your expert  
6 answer, please.

7 MR. BERTLING: So what's the question?

8 BY MS. RIFKIN:

9 Q. Do you know the question, Dr. Hayward?

10 A. Well, clarify it for me, please.

11 Q. Yes.

12 MR. BERTLING: Because he's already testified  
13 that he thought Ms. Shene did an appropriate and  
14 adequate suicide risk assessment on the morning of the  
15 4th. Are you asking him if there were any others?

16 BY MS. RIFKIN:

17 Q. In your expert opinion, Dr. Hayward, should a  
18 suicide risk assessment completed subsequent to  
19 Mr. Claypole's placement on suicide watch the second  
20 time, so on May 3rd, should any suicide risk assessment  
21 completed after that point have included questions to  
22 Mr. Claypole about the suicidal statements he made to  
23 Ms. Spano?

24 A. Yes.

25 Q. Did that occur?

1 A. It may have.

2 Q. From the treatment records, are you able to  
3 tell whether anyone ever asked Mr. Claypole about those  
4 statements between the time he was placed on suicide  
5 watch on May 3rd and the time he was released on  
6 May 4th?

7 MR. BERTLING: Are you referring to about  
8 whether or not he was still suicidal?

9 BY MS. RIFKIN:

10 Q. Do you understand the question, Dr. Hayward?

11 A. Yes, I believe I understand the question.

12 So what I would expect is the next -- again,  
13 the nurse at the jail is -- does not have the expertise  
14 as a mental health clinician. So she's doing a very  
15 basic -- more of a preliminary suicide risk assessment,  
16 and she, I believe, covered that very well.

17 He was scheduled to see Dr. Fithian at the  
18 next available psychiatric sick call, which would have  
19 been Monday.

20 And so the next mental health clinician that  
21 evaluated Mr. Claypole, yes, should have asked him  
22 about these statements that he made to Ms. Spano.

23 She probably asked about these statements. We  
24 don't know how much time she spent with him, but there  
25 may have -- you know, clinicians put a summary in their



1 or her patients, to make a mistake?

2 A. Yes. I think we're all human in this  
3 business.

4 Q. Do you think, in your opinion, that part of a  
5 functional quality improvement program or quality  
6 assessment program is acknowledging when a mistake has  
7 been made in clinical practice or judgment?

8 A. Yes. Acknowledge -- you know, part of the  
9 quality improvement is that you look at incidents where  
10 there was some adverse outcome, and you try to -- you  
11 try to find any mistakes, any errors in judgment.

12 Then you set up a corrective action plan to  
13 reduce the likelihood that a similar mistake -- or I  
14 want to say error in judgment -- might occur in the  
15 future.

16 Q. Are you aware of whether CFMG or Dr. Fithian  
17 through the QA process at Monterey County jail  
18 identified any errors or areas of improvement as a  
19 result of Mr. Claypole's suicide?

20 MR. BERTLING: Lacks foundation that there was  
21 a need for that.

22 THE WITNESS: I don't recall. I vaguely  
23 recall seeing that there was a quality assurance review  
24 of the suicide, but I don't recall any details about  
25 it.

1 BY MS. RIFKIN:

2 Q. Are you aware of whether any corrective action  
3 plan was identified or followed?

4 MR. BERTLING: Lacks foundation that there was  
5 a need for any such corrective action plan to be  
6 identified or followed following the peer review of  
7 this case.

8 THE WITNESS: I am not aware of what might  
9 have occurred.

10 BY MS. RIFKIN:

11 Q. Is it your opinion that placement of  
12 inmate-patients in the type of safety cells that exist  
13 at Monterey County jail, in other words, padded cells  
14 with a grate in floor, may increase an inmate's  
15 feelings of despondency and suicidal intent?

16 MR. BERTLING: Objection. Incomplete  
17 hypothetical. Vague and ambiguous.

18 But go ahead and answer the question if you  
19 can, Doctor.

20 THE WITNESS: So do you want my opinion if it  
21 could increase despondency? I'm not sure what you're  
22 asking.

23 BY MS. RIFKIN:

24 Q. Yes, if you have one. I'm asking is that your  
25 opinion.

1 (Witness reviews document.)

2 THE WITNESS: I don't recall seeing this  
3 particular memo. I may have, but it --

4 MR. BERTLING: And just so the record is  
5 clear, this is a May 7, 2013, memorandum from C.  
6 MacGregor regarding inmate Claypole, Joshua.

7 Is there a question pending? He says he  
8 doesn't recall seeing the document.

9 BY MS. RIFKIN:

10 Q. Oh, I wasn't sure if you were done with your  
11 answer, Doctor.

12 A. So I don't recall seeing this prior to today.

13 MS. RIFKIN: Okay. I don't have any more  
14 questions for you, Doctor. I don't want to go off the  
15 record because there's other matters to talk about, but  
16 I wanted to check to see if Counsel has any questions.

17 MR. BERTLING: No.

18 MS. RIFKIN: So I have not received any  
19 email -- an email with any of the documents that were  
20 to be produced today that were handed to me on the  
21 broken disc.

22 So I am reserving the right to hold  
23 Dr. Hayward's deposition open for the purpose of asking  
24 questions that may come up as a result of reviewing  
25 these documents and reserving the right to do so at

1 cost to the defendants.

2 MR. BERTLING: Are we done?

3 MS. RIFKIN: Thank you, Doctor.

4 THE COURT REPORTER: Before we go off the  
5 record, can I have Counsel state whether you are  
6 ordering a copy of the transcript.

7 MR. BERTLING: I am ordering a copy. I'd like  
8 to get the condensed version, the E transcript,  
9 whatever that package is.

10 MR. GRANT: I'll take the same thing on behalf  
11 of the County.

12 MS. RIFKIN: Yes, we are ordering a copy of  
13 the transcript, as well, including a rough.

14 (Off the record.)

15 BY MS. RIFKIN:

16 Q. Can you let me know how much time we spent to  
17 the record?

18 THE COURT REPORTER: Can we go off the record  
19 so I can add up the time?

20 MS. RIFKIN: Yes.

21 (Off the record.)

22 MS. RIFKIN: So the Court Reporter has stated  
23 that we spent five hours and six minutes on the record.  
24 Are you requesting payment for the six minutes?

25 MR. BERTLING: Sure.

CERTIFICATE OF REPORTER

I, CARI VALLO, a Certified Shorthand Reporter of the State of California, duly authorized to administer oaths, do hereby certify:

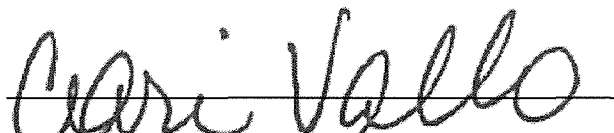
That the foregoing proceedings were taken before me at the time and place herein set forth; that any witnesses in the foregoing proceedings, prior to testifying, were duly sworn; that a record of the proceedings was made by me using machine shorthand, which was thereafter transcribed under my direction; that the foregoing transcript is a true record of the testimony given.

Further, that if the foregoing pertains to the original transcript of a deposition in a Federal case, before completion of the proceedings, review of the transcript [ ] was [x] was not requested.

I further certify I am neither financially interested in the action nor a relative or employee of any attorney or party to this action.

IN WITNESS WHEREOF, I have this date subscribed my name:

Dated: October 29, 2015

  
CARI VALLO, RPR, CSR NO. 6484

Rifkin Decl. in Supp of Mtn to Compel  
Discovery & for Sanctions

**Exh. E**

Lori Rifkin <lrifkin@rifkinlawoffice.com>

---

## Claypole v. CFMG et al; production of Hayward documents

---

Lori Rifkin <lrifkin@rifkinlawoffice.com>

Wed, Oct 21, 2015 at 1:02 PM

To: "Peter Bertling (pgb@bertling-clausen.com)" <pgb@bertling-clausen.com>, "jps@bertling-clausen.com" <jps@bertling-clausen.com>

Cc: Josh Piovita-Scott <jps@hadsellstormer.com>, Dan Stormer <dstormer@hadsellstormer.com>, Mohammad Tajsar <mtajsar@hadsellstormer.com>

Dear Peter,

I am writing to follow up regarding your failure to produce documents in response to the request for production of documents and notice of deposition for Dr. Hayward. The deposition took place on Friday, October 16, 2015, and you produced some hard copies of e-mails and a broken disc. You represented that you would direct that the files on the disc be produced electronically during the deposition, but neither I nor my co-counsel received them on that day, and I noted on the record that we were holding Dr. Hayward's deposition open pending production of those documents.

Neither I nor my co-counsel have received an updated production to date. Please produce the documents responsive to the requests that were to have been produced on the broken disc, and any additional responsive documents. If we have not received them by the morning of Friday, October 23, the close of expert discovery, we will have no choice but to file a motion to compel and seek costs and fees for such motion.

Lori Rifkin, Esq.

Rifkin Law Office  
[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)  
[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)  
415-685-3591

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Lori Rifkin <lrifkin@rifkinlawoffice.com>

---

## Claypole v. CFMG et al; production of Hayward documents

---

Joan McMaster <jam@bertling-clausen.com>

Wed, Oct 21, 2015 at 1:46 PM

To: "lrifkin@rifkinlawoffice.com" <lrifkin@rifkinlawoffice.com>

Cc: "jps@hadsellstormer.com" <jps@hadsellstormer.com>, "dstormer@hadsellstormer.com"

<dstormer@hadsellstormer.com>, "mtajsar@hadsellstormer.com" <mtajsar@hadsellstormer.com>, Peter Bertling <pgb@bertling-clausen.com>, Jemma Saunders <jps@bertling-clausen.com>

Dear Ms. Rifkin:

Attached are the requested documents pertaining to the deposition of Dr. Hayward.

Sincerely,

Joan McMaster

Paralegal/Legal Assistant

Bertling & Clausen, LLP

15 West Carrillo Street, Suite 100

Santa Barbara, CA 93101

Phone: (805) 892-2100 Fax: (805) 963-6044; [jam@bertling-clausen.com](mailto:jam@bertling-clausen.com)

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### 4 attachments



**CFMG's expert witness disclosure.pdf**  
4725K



**Dr. Patterson's Report & CV.pdf**  
7510K



**Emails.PDF**  
1202K



**Pls Expert Witness Disclosure 9-28-15.pdf**  
7806K



Lori Rifkin <lrifkin@rifkinlawoffice.com>

---

## Claypole v. CFMG et al; production of Hayward documents

---

Lori Rifkin <lrifkin@rifkinlawoffice.com>

Mon, Oct 26, 2015 at 9:46 AM

To: Joan McMaster <jam@bertling-clausen.com>

Cc: "jps@hadsellstormer.com" <jps@hadsellstormer.com>, "dstormer@hadsellstormer.com"

<dstormer@hadsellstormer.com>, "mtajsar@hadsellstormer.com" <mtajsar@hadsellstormer.com>, Peter Bertling <pgb@bertling-clausen.com>, Jemma Saunders <jps@bertling-clausen.com>

Counsel:

My understanding is that the documents to be produced on the disc for the Hayward deposition included the documents responsive to the requests for production included with the deposition notice. The documents attached to Ms. McMaster's email include only CFMG's expert witness disclosure, Plaintiffs' expert witness disclosure, and the emails Peter produced in paper.

Please clarify by the close of business today which documents Defendants intended to produce in response to the document requests to Dr. Hayward. If this is the extent of the production, which would appear to conflict with Dr. Hayward's testimony at his deposition, it is not responsive to Plaintiffs' request for production and we will need to bring this issue before the Court, and recall Dr. Hayward for further deposition.

Lori Rifkin, Esq.

Rifkin Law Office

[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)

[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)

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On Wed, Oct 21, 2015 at 1:46 PM, Joan McMaster <jam@bertling-clausen.com> wrote:

Dear Ms. Rifkin:

Attached are the requested documents pertaining to the deposition of Dr. Hayward.

Sincerely,

Joan McMaster

Paralegal/Legal Assistant

Bertling & Clausen, LLP

15 West Carrillo Street, Suite 100

Santa Barbara, CA 93101

Phone: (805) 892-2100 Fax: (805) 963-6044; [jam@bertling-clausen.com](mailto:jam@bertling-clausen.com)

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Lori Rifkin <lrifkin@rifkinlawoffice.com>

---

## Claypole v. CFMG et al; production of Hayward documents

---

**Peter Bertling** <pgb@bertling-clausen.com>

Mon, Oct 26, 2015 at 10:24 AM

To: Lori Rifkin <lrifkin@rifkinlawoffice.com>, Joan McMaster <jam@bertling-clausen.com>

Cc: "jps@hadsellstormer.com" <jps@hadsellstormer.com>, "dstormer@hadsellstormer.com"

<dstormer@hadsellstormer.com>, "mtajsar@hadsellstormer.com" <mtajsar@hadsellstormer.com>, Jemma Saunders

<jps@bertling-clausen.com>, "BlitchSK@co.monterey.ca.us" <BlitchSK@co.monterey.ca.us>,

"granti@co.monterey.ca.us" <granti@co.monterey.ca.us>, "Philippi, Michael R. x5361

(PhilippiMR@co.monterey.ca.us)" <PhilippiMR@co.monterey.ca.us>

Hi Lori:

Please clarify what you believe is inconsistent with our production and Dr. Hayward's deposition testimony. What do you believe we should be producing that has not already been produced? You already have many of the documents referred to by Dr. Hayward in his report.

Regards,

Peter Bertling

Bertling & Clausen, L.L.P.

15 West Carrillo, Suite 100

Santa Barbara, Calif. 93101

(805) 892-2100 ext. 100

(805) 963-6044: Fax

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**From:** Lori Rifkin [mailto:lrifkin@rifkinlawoffice.com]

**Sent:** Monday, October 26, 2015 9:47 AM

**To:** Joan McMaster

**Cc:** jps@hadsellstormer.com; dstormer@hadsellstormer.com; mtajsar@hadsellstormer.com; Peter Bertling; Jemma Saunders

**Subject:** Re: Claypole v. CFMG et al; production of Hayward documents

[Quoted text hidden]

Lori Rifkin <lrifkin@rifkinlawoffice.com>

---

## Claypole v. CFMG et al; production of Hayward documents

---

Lori Rifkin <lrifkin@rifkinlawoffice.com>

Tue, Oct 27, 2015 at 11:03 AM

To: Peter Bertling <pgb@bertling-clausen.com>

Cc: Joan McMaster <jam@bertling-clausen.com>, "jps@hadsellstormer.com" <jps@hadsellstormer.com>, "dstormer@hadsellstormer.com" <dstormer@hadsellstormer.com>, "mtajsar@hadsellstormer.com" <mtajsar@hadsellstormer.com>, Jemma Saunders <jps@bertling-clausen.com>, "BlitchSK@co.monterey.ca.us" <BlitchSK@co.monterey.ca.us>, "granti@co.monterey.ca.us" <granti@co.monterey.ca.us>, "Philippi, Michael R. x5361 (PhilippiMR@co.monterey.ca.us)" <PhilippiMR@co.monterey.ca.us>

Peter,

Dr. Hayward testified that he provided documents to be produced in response to the requests for production that he believed were on the broken DVD. The request for production included, inter alia, requests for all materials relied upon by Dr. Hayward in his two reports offered as a disclosure in this case, notes from his jail visits, incident reports reviewed, as well as specific documents he referenced that had not previously been produced to Plaintiffs. My understanding from his testimony was that he had provided those documents to be produced on that DVD. I did not have the opportunity to ask him further questions about what was or was not included because the DVD you provided me was broken and your office did not provide the documents electronically during the deposition, as you had represented you would do. The documents your office has now produced are not a sufficient response to Plaintiffs' requests for production to Dr. Hayward. Please clarify whether the documents attached to Ms. McMaster's email constitute the full extent of the production in response to the request for documents to Dr. Hayward. As my previous email states, if this is the extent of the production, we will bring this issue before the Court and seek to recall Dr. Hayward for further deposition.

Lori Rifkin, Esq.

Rifkin Law Office

[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)

[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)

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Regards,

Peter Bertling

Bertling & Clausen, L.L.P.

15 West Carrillo, Suite 100

Santa Barbara, Calif. 93101

(805) 892-2100 ext. 100

(805) 963-6044: Fax

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**Sent:** Monday, October 26, 2015 9:47 AM

**To:** Joan McMaster

**Cc:** [jps@hadsellstormer.com](mailto:jps@hadsellstormer.com); [dstormer@hadsellstormer.com](mailto:dstormer@hadsellstormer.com); [mtajsar@hadsellstormer.com](mailto:mtajsar@hadsellstormer.com); Peter Bertling; Jemma Saunders

**Subject:** Re: Claypole v. CFMG et al; production of Hayward documents

Counsel:

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Lori Rifkin, Esq.

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[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)

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On Wed, Oct 21, 2015 at 1:46 PM, Joan McMaster <[jam@bertling-clausen.com](mailto:jam@bertling-clausen.com)> wrote:

Dear Ms. Rifkin:

Attached are the requested documents pertaining to the deposition of Dr. Hayward.

Sincerely,

Joan McMaster

Paralegal/Legal Assistant

Bertling & Clausen, LLP

15 West Carrillo Street, Suite 100

Santa Barbara, CA 93101

Phone: (805) 892-2100 Fax: (805) 963-6044; [jam@bertling-clausen.com](mailto:jam@bertling-clausen.com)

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Discovery & for Sanctions

**Exh. F**



**BERTLING & CLAUSEN** LLP  
ATTORNEYS AT LAW

15 West Carrillo Street • Suite 100 • Santa Barbara, CA 93101

TELEPHONE 805.892.2100

FACSIMILE 805.963.6044

**Jemma Parker Saunders**  
jps@bertling-clausen.com

July 6, 2015

*Via Electronic Mail*

Joshua Piovia-Scott  
Mohammad K. Tajsar  
Dan Lewis Stormer  
Hadsell Stormer & Renick, LLP  
128 N. Fair Oaks Avenue  
Pasadena, CA 91103  
[jps@hadsellstormer.com](mailto:jps@hadsellstormer.com), [mtajsar@hadsellstormer.com](mailto:mtajsar@hadsellstormer.com)

Re: *Joshua Claypole, deceased v. CFMG, et al.*

Dear Counsel:

This correspondence is in reply to Mr. Piovia-Scott and Mr. Tajsar's correspondences of June 29, 2015 and June 23, 2015. I apologize for the delay, however as previously notified I was out of the country until last weekend.

We have reserved July 30, 2015 for Dr. Fithian's deposition. He will also be designated as the CFMG Person Most Knowledgeable pursuant to Rule 30(b). We request plaintiffs take his deposition as an individual defendant and the PMK concurrently. Should additional time beyond the seven hours be necessary to complete the deposition on July 30, 2015, we would be agreeable to an extended day.

Joshua Piovia-Scott  
Mohammad K. Tajsar  
Dan Lewis Stormer  
July 6, 2015  
Page 2

Regarding the depositions of Ms. Shene and Ms. Spano, please be advised that both individuals are no longer employees or staff members of CFMG. Nevertheless, we will make every effort to coordinate their production. I have been advised by my client that Ms. Shene's employment separation with CFMG was due to her relocation to the East Coast as her husband is in the military. Logistically, this may hinder her production. I currently have no information on Ms. Spano's whereabouts. I will endeavor to provide you with an update on these witnesses status within the next few days.

Finally, CFMG will agree to produce the two additional deponents you have requested.

Please do not hesitate to contact Mr. Bertling or myself to further discuss these issues.

Very truly yours,

BERTLING & CLAUSEN L.L.P.

A handwritten signature in blue ink, appearing to read 'Jemma Parker Saunders', with a long, sweeping horizontal line extending to the right.

Jemma Parker Saunders

cc: All Counsel, by electronic mail

Lori Rifkin <lrifkin@rifkinlawoffice.com>

---

## Claypole v County of San Mateo et al; depositions

---

Lori Rifkin <lrifkin@rifkinlawoffice.com>

Tue, Jul 21, 2015 at 4:43 PM

To: "Peter Bertling (pgb@bertling-clausen.com)" <pgb@bertling-clausen.com>

Cc: Josh Piovita-Scott <jps@hadsellstormer.com>, Mohammad Tajsar <mtajsar@hadsellstormer.com>, Jessica Valdenegro <jessicav@hadsellstormer.com>, "jps@bertling-clausen.com" <jps@bertling-clausen.com>

Dear Peter,

I am writing to confirm and follow up on our telephone conversation earlier today concerning deposition scheduling and discovery.

You notified me during our call today that Dr. Fithian is unavailable on July 30, 2015, the date previous scheduled and confirmed for his deposition. We agreed that Dr. Fithian will be deposed as CFMG's PMK and, to the extent possible, in his capacity as an individual Defendant, next Friday, July 31, rather than Thursday, July 30. Dr. Fithian will appear at the same start time and location previously noticed. An amended deposition notice will go out to all counsel shortly. We confirmed August 27 as the second date for Dr. Fithian's deposition, should it be necessary.

We agreed that Ms. Shene's deposition will take place August 28, 2015. During our call you referred to Ms. Shene's location as West Virginia. I was previously informed by counsel that Ms. Shene is in Virginia, close to Norfolk. Please confirm Ms. Shene's location, so that we can prepare and send out the deposition notice. During our call you mentioned that CFMG may reverse its earlier position of agreeing to produce Ms. Shene and that Plaintiff may need to subpoena her. Please clarify whether you will accept service of an amended deposition notice for Ms. Shene for a location in Norfolk, VA on August 28.

You stated that Ms. Spano is unavailable for deposition on the date noticed, August 10. I requested that you provide alternate dates for Ms. Spano's deposition, and you said you would follow up with me shortly. Again, during our call you mentioned that CFMG may reverse its earlier position of agreeing to produce Ms. Spano and that Plaintiff may need to subpoena her. Please clarify whether you will accept service of an amended deposition notice for Ms. Spano on a date mutually agreeable to the parties prior to the August 31, 2015 discovery cut-off.

If any of the above does not reflect your understanding of our conversation, please clarify CFMG's position.

Sincerely,

Lori Rifkin, Esq.

Rifkin Law Office

[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)

[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)

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Lori Rifkin <[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)>

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## Claypole v County of San Mateo et al; depositions

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**Peter Bertling** <[pgb@bertling-clausen.com](mailto:pgb@bertling-clausen.com)>

Tue, Jul 21, 2015 at 5:20 PM

To: Lori Rifkin <[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)>

Cc: Josh Piovio-Scott <[jps@hadsellstormer.com](mailto:jps@hadsellstormer.com)>, Mohammad Tajsar <[mtajsar@hadsellstormer.com](mailto:mtajsar@hadsellstormer.com)>, Jessica Valdenegro <[jessicav@hadsellstormer.com](mailto:jessicav@hadsellstormer.com)>, Jemma Saunders <[jps@bertling-clausen.com](mailto:jps@bertling-clausen.com)>

Hi Lori:

Please see my response in red below. I assume you have cleared these proposed depositions with all other counsel.

Regards,

Peter Bertling

Bertling & Clausen, L.L.P.

15 West Carrillo, Suite 100

Santa Barbara, Calif. 93101

(805) 892-2100 ext. 100

(805) 963-6044: Fax

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**From:** Lori Rifkin [<mailto:lrifkin@rifkinlawoffice.com>]

**Sent:** Tuesday, July 21, 2015 4:43 PM

**To:** Peter Bertling

**Cc:** Josh Piovio-Scott; Mohammad Tajsar; Jessica Valdenegro; Jemma Saunders

**Subject:** Claypole v County of San Mateo et al; depositions

Dear Peter,

I am writing to confirm and follow up on our telephone conversation earlier today concerning deposition scheduling and discovery.

You notified me during our call today that Dr. Fithian is unavailable on July 30, 2015, the date previous scheduled and confirmed for his deposition. We agreed that Dr. Fithian will be deposed as CFMG's PMK and, to the extent possible, in his capacity as an individual Defendant, next Friday, July 31, rather than Thursday, July 30. Dr. Fithian will appear at the same start time and location previously noticed. An amended deposition notice will go out to all counsel shortly. We confirmed August 27 as the second date for Dr. Fithian's deposition, should it be necessary.

I appreciate your professional courtesy with respect to rescheduling the deposition of Dr. Fithian for July 31. I regret you are not willing to take the deposition of Dr. Fithian in Salinas or Monterey. He will be made available on July 31 at the location of your choosing. Please confirm that all other counsel have agreed to this deposition date.

Dr. Fithian is also available for a second deposition, if necessary, on August 27<sup>th</sup>. This may present a major logistical problem if we are going to be taking the deposition of Ms. Sheen on August 28<sup>th</sup> in Norfolk, Virginia.

We agreed that Ms. Shene's deposition will take place August 28, 2015. During our call you referred to Ms. Shene's location as West Virginia. I was previously informed by counsel that Ms. Shene is in Virginia, close to Norfolk. Please confirm Ms. Shene's location, so that we can prepare and send out the deposition notice. During our call you mentioned that CFMG may reverse its earlier position of agreeing to produce Ms. Shene and that Plaintiff may need to subpoena her. Please clarify whether you will accept service of an amended deposition notice for Ms. Shene for a location in Norfolk, VA on August 28.

Ms. Shene is available for deposition on August 28. Please locate a court reporting service in Norfolk, Virginia. I will be flying to Norfolk to represent Ms. Shene at the deposition. It is my understanding Norfolk is 3 hours ahead of California time. I am not aware of anyone from my office agreeing to produce Ms. Shene without a subpoena but I have not had an opportunity to speak with Jemma about this issue. However, I am now agreeing to make her available for her deposition on August 28 without the need for a subpoena.

You stated that Ms. Spano is unavailable for deposition on the date noticed, August 10. I requested that you provide alternate dates for Ms. Spano's deposition, and you said you would follow up with me shortly. Again, during our call you mentioned that CFMG may reverse its earlier position of agreeing to produce Ms. Spano and that Plaintiff may need to subpoena her. Please clarify whether you will accept service of an amended deposition notice for Ms. Spano on a date mutually agreeable to the parties prior to the August 31, 2015 discovery cut-off.

Please provide me with any written correspondence which confirms anyone from my office agreed to make Ms. Spano available for deposition without a subpoena. I cannot confirm we are able to make Ms. Spano available for deposition without a subpoena because she does not currently work for CFMG. However, I will try and facilitate making her available and have tried to contact her to discuss her availability for deposition. Please provide me with dates when you and all other counsel have agreed to be available for her deposition.

I am not sure Ms. Spano will agree to travel to San Jose for her deposition and I anticipate this nonparty witness will expect her deposition to take place in Monterey or Salinas.

If any of the above does not reflect your understanding of our conversation, please clarify CFMG's position.

Sincerely,

Lori Rifkin, Esq.

Rifkin Law Office

[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)

[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)

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Lori Rifkin <lrifkin@rifkinlawoffice.com>

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## Claypole v County of San Mateo et al; depositions

---

**Peter Bertling** <pgb@bertling-clausen.com>

Tue, Jul 21, 2015 at 5:36 PM

To: Lori Rifkin <lrifkin@rifkinlawoffice.com>

Cc: Josh Piovia-Scott <jps@hadsellstormer.com>, Mohammad Tajsar <mtajsar@hadsellstormer.com>, Jessica Valdenegro <jessicav@hadsellstormer.com>, Jemma Saunders <jps@bertling-clausen.com>

Hi Lori:

I spoke with Jemma and she informed me she did agree to make Ms. Spano available for deposition without the need for a subpoena. However, she told you her deposition would need to take place in Monterey.

Jemma also told me you agreed to be "flexible" with the location for Dr. Fithian's deposition. Jemma confirmed you two discussed a midway point between San Jose and Monterey but no location was ever identified. I renew my request that you agree to take the deposition of Dr. Fithian in Salinas.

Regards,

Peter Bertling

Bertling & Clausen, L.L.P.

15 West Carrillo, Suite 100

Santa Barbara, Calif. 93101

(805) 892-2100 ext. 100

(805) 963-6044: Fax

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---

**From:** Peter Bertling

**Sent:** Tuesday, July 21, 2015 5:20 PM



**To:** 'Lori Rifkin'

**Cc:** Josh Piovia-Scott; Mohammad Tajsar; Jessica Valdenegro; Jemma Saunders

**Subject:** RE: Claypole v County of San Mateo et al; depositions

Hi Lori:

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Regards,

Peter Bertling

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**From:** Lori Rifkin [<mailto:lrifkin@rifkinlawoffice.com>]

**Sent:** Tuesday, July 21, 2015 4:43 PM

**To:** Peter Bertling

**Cc:** Josh Piovia-Scott; Mohammad Tajsar; Jessica Valdenegro; Jemma Saunders

**Subject:** Claypole v County of San Mateo et al; depositions

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You notified me during our call today that Dr. Fithian is unavailable on July 30, 2015, the date previous scheduled



and confirmed for his deposition. We agreed that Dr. Fithian will be deposed as CFMG's PMK and, to the extent possible, in his capacity as an individual Defendant, next Friday, July 31, rather than Thursday, July 30. Dr. Fithian will appear at the same start time and location previously noticed. An amended deposition notice will go out to all counsel shortly. We confirmed August 27 as the second date for Dr. Fithian's deposition, should it be necessary.

I appreciate your professional courtesy with respect to rescheduling the deposition of Dr. Fithian for July 31. I regret you are not willing to take the deposition of Dr. Fithian in Salinas or Monterey. He will be made available on July 31 at the location of your choosing. Please confirm that all other counsel have agreed to this deposition date.

Dr. Fithian is also available for a second deposition, if necessary, on August 27<sup>th</sup>. This may present a major logistical problem if we are going to be taking the deposition of Ms. Sheen on August 28<sup>th</sup> in Norfolk, Virginia.

We agreed that Ms. Shene's deposition will take place August 28, 2015. During our call you referred to Ms. Shene's location as West Virginia. I was previously informed by counsel that Ms. Shene is in Virginia, close to Norfolk. Please confirm Ms. Shene's location, so that we can prepare and send out the deposition notice. During our call you mentioned that CFMG may reverse its earlier position of agreeing to produce Ms. Shene and that Plaintiff may need to subpoena her. Please clarify whether you will accept service of an amended deposition notice for Ms. Shene for a location in Norfolk, VA on August 28.

Ms. Shene is available for deposition on August 28. Please locate a court reporting service in Norfolk, Virginia. I will be flying to Norfolk to represent Ms. Shene at the deposition. It is my understanding Norfolk is 3 hours ahead of California time. I am not aware of anyone from my office agreeing to produce Ms. Shene without a subpoena but I have not had an opportunity to speak with Jemma about this issue. However, I am now agreeing to make her available for her deposition on August 28 without the need for a subpoena.

You stated that Ms. Spano is unavailable for deposition on the date noticed, August 10. I requested that you provide alternate dates for Ms. Spano's deposition, and you said you would follow up with me shortly. Again, during our call you mentioned that CFMG may reverse its earlier position of agreeing to produce Ms. Spano and that Plaintiff may need to subpoena her. Please clarify whether you will accept service of an amended deposition notice for Ms. Spano on a date mutually agreeable to the parties prior to the August 31, 2015 discovery cut-off.

Please provide me with any written correspondence which confirms anyone from my office agreed to make Ms. Spano available for deposition without a subpoena. I cannot confirm we are able to make Ms. Spano available for deposition without a subpoena because she does not currently work for CFMG. However, I will try and facilitate making her available and have tried to contact her to discuss her availability for deposition. Please provide me with dates when you and all other counsel have agreed to be available for her deposition. I am not sure Ms. Spano will agree to travel to San Jose for her deposition and I anticipate this nonparty witness will expect her deposition to take place in Monterey or Salinas.

If any of the above does not reflect your understanding of our conversation, please clarify CFMG's position.

Sincerely,

Lori Rifkin, Esq.

Rifkin Law Office

[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)

[Lrifkin@rifkinlawoffice.com](mailto:Lrifkin@rifkinlawoffice.com)

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Lori Rifkin <lrifkin@rifkinlawoffice.com>

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## Claypole v County of San Mateo et al; depositions

---

Lori Rifkin <lrifkin@rifkinlawoffice.com>

Tue, Jul 21, 2015 at 5:42 PM

To: Peter Bertling <pgb@bertling-clausen.com>

Cc: Josh Piovita-Scott <jps@hadsellstormal.com>, Mohammad Tajsar <mtajsar@hadsellstormal.com>, Jessica Valdenegro <jessicav@hadsellstormal.com>, Jemma Saunders <jps@bertling-clausen.com>

Peter,

We have followed the same protocol as with all the other depositions noticed and scheduled to date, which is to confirm availability of the deponent and counsel for the deponent, and to provide notice to all other counsel.

During our conversation, I specifically asked you if you thought it was feasible to do Ms. Shene's deposition in Virginia on August 28th and Dr. Fithian's deposition on August 27th and you repeated to me that you would produce them on those dates. If CFMG will not be able to do both depositions, please provide me with other dates that Ms. Shene is available for deposition.

Regarding Ms. Spano and Ms. Shene, counsel previously stated in writing that your firm was representing them and would coordinate producing them for depositions. I am attaching both the letter and e-mail exchange that state this. In addition, I had numerous conversations with Jemma about her attempts to contact Ms. Spano and whether a subpoena would be necessary, which we agreed to try to avoid if possible, and serve only if counsel was unable to successfully contact her. I suggest that in the future, in keeping with professional courtesy, before requesting written correspondence as "proof" of opposing counsel's statements you first review the correspondence from your office.

Lori Rifkin, Esq.

Rifkin Law Office

[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)

[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)

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### 2 attachments



**Claypole v CFMG et al; follow-up from call.pdf**

162K



**I-cr03 07-06-15 re CFMG depos.pdf**

82K

Lori Rifkin <lrifkin@rifkinlawoffice.com>

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## Claypole v County of San Mateo et al; depositions

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Lori Rifkin <lrifkin@rifkinlawoffice.com>

Tue, Jul 21, 2015 at 5:51 PM

To: Peter Bertling <pgb@bertling-clausen.com>

Cc: Josh Piovita-Scott <jps@hadsellstormer.com>, Mohammad Tajsar <mtajsar@hadsellstormer.com>, Jessica Valdenegro <jessicav@hadsellstormer.com>, Jemma Saunders <jps@bertling-clausen.com>

Peter,

Jemma and I spoke about a location midway between Oakland, which is where my office is located, and Monterey. Jemma mentioned Morgan Hill, and said she would confirm if a location other than San Jose was necessary. Despite repeated requests, I have not heard anything more about location until now.

We will not agree to do the deposition in Salinas. We will, however, agree to Morgan Hill if that is preferable for Dr. Fithian, and our court reporter has space available on that date. Please let me know as soon as possible if you would prefer the Morgan Hill location so that we can make the location arrangements while we are also rescheduling the previously confirmed PMK deposition.

Lori Rifkin, Esq.

Rifkin Law Office

[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)

[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)

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Lori Rifkin <lrifkin@rifkinlawoffice.com>

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## Claypole v County of San Mateo et al; depositions

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**Peter Bertling** <pgb@bertling-clausen.com>

Tue, Jul 21, 2015 at 11:24 PM

To: Lori Rifkin <lrifkin@rifkinlawoffice.com>

Cc: Josh Piovita-Scott <jps@hadsellstormer.com>, Mohammad Tajsar <mtajsar@hadsellstormer.com>, Jessica Valdenegro <jessicav@hadsellstormer.com>, Jemma Saunders <jps@bertling-clausen.com>

Lori:

During our conversation you asked me if Dr. Fithian would also be available on August 31, 2015, if necessary for his 2<sup>nd</sup> deposition. I have confirmed he is available on that date as well as August 27. However, because I intend to fly to Norfolk, Virginia to represent Ms. Shene at her deposition on August 28 I would prefer to proceed with the deposition of Dr. Fithian.

The deposition schedule will be as follows:

Dr. Fithian will be deposed on July 31, 2015 in either San Jose or Morgan Hill. I will try to confirm the actual location tomorrow.

Ms. Shene will be deposed on August 28, 2015 in Norfolk, Virginia. I will be travelling to Norfolk to represent Ms. Shene at this deposition.

The 2<sup>nd</sup> session of Dr. Fithian's deposition, if necessary, will take place on August 31, 2015. The location will either be in San Jose or Morgan Hill.

I am still waiting to hear back from Ms. Spano regarding her deposition availability and will provide you with dates asap. However, as I previously informed you, she is not a CFMG employee and, while I will do what I can to secure a deposition date, I cannot guarantee her cooperation on a date that is not convenient for her schedule. In addition, it is my understanding she will only agree to have her deposition taken in Monterey.

Please do not hesitate to contact me if you have any questions regarding this matter.

Regards,

Peter Bertling

Bertling & Clausen, L.L.P.

15 West Carrillo, Suite 100

Santa Barbara, Calif. 93101

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**From:** Lori Rifkin [mailto:[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)]

**Sent:** Tuesday, July 21, 2015 5:42 PM

**To:** Peter Bertling

**Cc:** Josh Piovia-Scott; Mohammad Tajsar; Jessica Valdenegro; Jemma Saunders

**Subject:** Re: Claypole v County of San Mateo et al; depositions

[Quoted text hidden]

Lori Rifkin <lrifkin@rifkinlawoffice.com>

---

## Claypole; your voicemail

---

Lori Rifkin <lrifkin@rifkinlawoffice.com>

Wed, Jul 22, 2015 at 12:50 PM

To: "Peter Bertling (pgb@bertling-clausen.com)" <pgb@bertling-clausen.com>

Cc: Josh Piovita-Scott <jps@hadsellstormer.com>, Mohammad Tajsar <mtajsar@hadsellstormer.com>, Jessica Valdenegro <jessicav@hadsellstormer.com>

Pete,

I received your voicemail. The reason that I sent that email was to clarify to all counsel the PMK and potential 2nd day, and the current date noticed for Dr. Fithian's second depo is August 27. Because we are trying to work out scheduling and that might change, I included a note that said that's what we are doing and we would send out an update as soon as possible.

I am currently checking on availability of August 31 for Dr. Fithian's potential 2nd day, and will let you know if we are able to make that work. If we can make that work, we will.

We are now checking on availability for Morgan Hill for next week. We will not be able to begin at 7 am, but I will let you know the time and location as soon as I am able.

Lori Rifkin, Esq.

Rifkin Law Office

[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)

[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)

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Lori Rifkin <[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)>

---

## Claypole; your voicemail

---

**Lori Rifkin** <[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)>

Wed, Jul 22, 2015 at 6:06 PM

To: "Peter Bertling ([pgb@bertling-clausen.com](mailto:pgb@bertling-clausen.com))" <[pgb@bertling-clausen.com](mailto:pgb@bertling-clausen.com)>

Cc: Josh Piovita-Scott <[jps@hadsellstormer.com](mailto:jps@hadsellstormer.com)>, Mohammad Tajsar <[mtajsar@hadsellstormer.com](mailto:mtajsar@hadsellstormer.com)>, Jessica Valdenegro <[jessicav@hadsellstormer.com](mailto:jessicav@hadsellstormer.com)>

Pete,

We are able to switch the second Fithian date to 8/31.

As soon as we get a Morgan Hill location, we'll send out amended notices.

Lori Rifkin, Esq.

Rifkin Law Office

[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)

[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)

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[Quoted text hidden]



Lori Rifkin <[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)>

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## Claypole; your voicemail

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Peter Bertling <[pgb@bertling-clausen.com](mailto:pgb@bertling-clausen.com)>

Wed, Jul 22, 2015 at 6:22 PM

To: Lori Rifkin <[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)>

Hi Lori:

I just spoke with Ms.Spano. She is available for deposition on 8/31 in Monterey. I suggest we confirm her deposition for that date and find another date to take the second deposition of Dr. Fithian if it is actually needed. As I already informed you I cannot attend the deposition of Dr. Fithian on 8/27 because I need to fly to Norfolk for the depo scheduled on 8/28. Please confirm the time you want that deposition to begin.

Dr. Fithian will be deposed in Morgan Hill on 8/31. What time? I suggest we start as early as possible. Dr. Fithian is willing to stay until 7:00 p.m in order to get his individual and PMK completed.

Regards,

Pete

On Jul 22, 2015, at 6:06 PM, Lori Rifkin <[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)> wrote:

Pete,

We are able to switch the second Fithian date to 8/31.

As soon as we get a Morgan Hill location, we'll send out amended notices.

Lori Rifkin, Esq.

Rifkin Law Office  
[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)  
[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)  
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On Wed, Jul 22, 2015 at 12:50 PM, Lori Rifkin <[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)> wrote:

Pete,

I received your voicemail. The reason that I sent that email was to clarify to all counsel the PMK and potential 2nd day, and the current date noticed for Dr. Fithian's second depo is August 27. Because we are trying to work out scheduling and that might change, I included a note that said that's what we are doing and we would send out an update as soon as possible.

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We are now checking on availability for Morgan Hill for next week. We will not be able to begin at 7 am, but I will let you know the time and location as soon as I am able.

Lori Rifkin, Esq.

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[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)  
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Lori Rifkin <lrifkin@rifkinlawoffice.com>

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## Claypole; your voicemail

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Lori Rifkin <lrifkin@rifkinlawoffice.com>

Wed, Jul 22, 2015 at 7:51 PM

To: Peter Bertling <pgb@bertling-clausen.com>

Cc: Josh Piovio-Scott <jps@hadsellstormer.com>, Mohammad Tajsar <mtajsar@hadsellstormer.com>

Dear Peter,

At your request, yesterday we rescheduled the PMK deposition for CFMG for 7/31 instead of 7/30 with barely one week's notice, despite having had that date confirmed in writing by your office for over a month, and having scheduled multiple other depositions around that date. At your request, we rescheduled the date for Dr. Fithian's individual deposition, to which we are entitled, from 8/27 to 8/31. At your request, we scheduled the date for Ms. Shene's deposition for 8/28 to accommodate your schedule, in which you have stated that you are unavailable for 3 out of the 4 weeks of August. Yet we could not schedule depositions sooner because CFMG has not provided any dates for any deponents' availability other than the PMK until this week. Now you are attempting to obstruct Plaintiff's ability to depose named Defendants and witnesses identified by CFMG in its initial disclosures by attempting to force Plaintiff to choose between scheduling Ms. Spano's deposition and Dr. Fithian's deposition.

It is absurd to take the position that you cannot find another half-day in August to schedule Ms. Spano's deposition other than the day we rescheduled Dr. Fithian's deposition *at your request*.

I have told you that we will cover as much ground as we are able with Dr. Fithian on 7/31, but we have not yet received CFMG's electronic discovery, and we have an outstanding motion to compel CFMG to produce documents it has refused to produce in response to our discovery requests. You have named Dr. Fithian as the PMK for CFMG on all topics noticed, and he is a named Defendant in this case and one of the main witnesses. It is unlikely that we will be able to finish Dr. Fithian in one day and it is perfectly reasonable for us to have noticed his separate deposition. If you would like to defend your position in Court of offering only 2 days of availability for the entire month of August, despite having multiple attorneys assigned to this case and more than one month of notice on these dates, we will not hesitate to take appropriate action. Please provide additional dates for Ms. Spano's half-day deposition. If we are not able to resolve this matter and schedule Ms. Spano's deposition by Friday, we will move forward in Court, and seek attorney's fees and costs for having to do so.

Lori Rifkin, Esq.

Rifkin Law Office

[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)

[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)

415-685-3591

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[Quoted text hidden]

Lori Rifkin <lrifkin@rifkinlawoffice.com>

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## Claypole; your voicemail

---

**Peter Bertling** <pgb@bertling-clausen.com>

Wed, Jul 22, 2015 at 9:05 PM

To: Lori Rifkin <lrifkin@rifkinlawoffice.com>

Cc: Josh Piovita-Scott <jps@hadsellstormer.com>, Mohammad Tajsar <mtajsar@hadsellstormer.com>

Hi Lori:

Yesterday, I told you I would contact Ms. Spano, a nonparty witness who was not properly subpoenaed, and try to get a date for her deposition. I called her yesterday and heard from her late this afternoon. She said she was available for deposition on August 24 and 31 in Monterey. I previously notified you I was in trial on August 24 and would not be able to attend any depositions on this date. As Ms. Spano is a former employee of CFMG, I am the only attorney authorized to represent her at deposition. Today, promptly notified you Ms. Spano's deposition could go forward on August 31 in Monterey. Will you be noticing her deposition for that date or not? I am trying to make your job easier by making Ms. Spano available for a deposition without requiring you to subpoena her. I cannot force her to do anything because she is no longer employed by CFMG.

I am sure we can find an alternate date when the 2<sup>nd</sup> session of Dr. Fithian's deposition can go forward if necessary. I am not trying to "obstruct" your efforts to depose him. For example, I can check with Dr. Fithian to see if he would be available on August 21? I have confirmed with the Court Clerk where I will be in trial that the Court is dark for trial purposes on this day. We have no other depositions set i on August 21. Are you available to take Dr. Fithian's deposition on August 21? If so, I will contact him to confirm his availability.

I am the only attorney authorized to represent former or current CFMG employees whether or not they are actually named as defendants. My associate, Jemma Saunders, is authorized to attend other depositions as she has already been doing in this case.

Please advise.

Kind regards,

Peter Bertling

Bertling & Clausen, L.L.P.

15 West Carrillo, Suite 100

Santa Barbara, Calif. 93101

(805) 963-6044: Fax

WARNING: This message and any attachments hereto may contain confidential and privileged communications or information and/or attorney-client communications or work-product protected by law. The information contained herein is transmitted for the sole use of the intended recipient(s). If you are not the intended recipient or designated agent of the recipient of such information, you are hereby notified that any use, dissemination, copying or retention of this e-mail of the information contained herein is strictly prohibited and may subject you to penalties under federal and/or state law. If you received this e-mail in error, please notify the sender immediately and permanently delete this e-mail.

**From:** Lori Rifkin [mailto:[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)]  
**Sent:** Wednesday, July 22, 2015 7:52 PM  
**To:** Peter Bertling  
**Cc:** Josh Piovia-Scott; Mohammad Tajsar  
**Subject:** Re: Claypole; your voicemail

Dear Peter,

[Quoted text hidden]

[Quoted text hidden]

Lori Rifkin <lrifkin@rifkinlawoffice.com>

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## Claypole; your voicemail

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**Peter Bertling** <pgb@bertling-clausen.com>

Wed, Jul 22, 2015 at 9:27 PM

To: Lori Rifkin <lrifkin@rifkinlawoffice.com>

Cc: Josh Piovita-Scott <jps@hadsellstormer.com>, Mohammad Tajsar <mtajsar@hadsellstormer.com>

Hi Lori:

I have just been able to confirm with Dr. Fithian that he would be available for a second deposition on August 21, 2015. Is there any reason the deposition can't go forward on that date. We would then proceed with the following deposition schedule:

July 31, 2015: deposition of Dr. Fithian as PMK in Morgan Hill. Please confirm starting time and location.

August 21, 2015: second session of Dr. Fithian as a defendant if necessary. I would request that the deposition take place again in Morgan Hill. Please confirm time and location.

August 28, 2015: deposition of Ms. Shene in Norfolk, Virginia. Please confirm EST time and location of deposition.

August 31, 2015: deposition of nonparty witness, Kim Spano, in Monterey, California. Please confirm time and location.

Kind regards,

Pete

[Quoted text hidden]

Lori Rifkin <[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)>

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## Claypole; your voicemail

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**Lori Rifkin** <[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)>

Thu, Jul 23, 2015 at 8:31 AM

To: Peter Bertling <[pgb@bertling-clausen.com](mailto:pgb@bertling-clausen.com)>

Cc: Josh Piovita-Scott <[jps@hadsellstormer.com](mailto:jps@hadsellstormer.com)>, Mohammad Tajsar <[mtajsar@hadsellstormer.com](mailto:mtajsar@hadsellstormer.com)>

Peter,

Please confirm whether CFMG will accept a subpoena on Ms. Spano's behalf, or immediately provide Ms. Spano's address and telephone number, as required by FRCP 26.

Lori Rifkin, Esq.

Rifkin Law Office

[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)

[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)

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[Quoted text hidden]

Lori Rifkin <lrifkin@rifkinlawoffice.com>

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## Claypole; your voicemail

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**Peter Bertling** <pgb@bertling-clausen.com>

Thu, Jul 23, 2015 at 8:34 AM

To: Lori Rifkin <lrifkin@rifkinlawoffice.com>

Cc: Josh Piovia-Scott <jps@hadsellstormer.com>, Mohammad Tajsar <mtajsar@hadsellstormer.com>

What will be the date, time and location of her deposition?

[Quoted text hidden]



Lori Rifkin <[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)>

---

## Claypole; your voicemail

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**Lori Rifkin** <[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)>

Thu, Jul 23, 2015 at 8:44 AM

To: Peter Bertling <[pgb@bertling-clausen.com](mailto:pgb@bertling-clausen.com)>

Cc: Josh Piovita-Scott <[jps@hadsellstormer.com](mailto:jps@hadsellstormer.com)>, Mohammad Tajsar <[mtajsar@hadsellstormer.com](mailto:mtajsar@hadsellstormer.com)>

You have indicated that you have switched CFMG's position so that you are now taking the position that Ms. Spano was improperly served, despite your office's prior acceptance of her deposition notice, and indicated that she is a non-party witness you have no control over. Therefore, we will subpoena her.

Please confirm whether CFMG will accept a subpoena on Ms. Spano's behalf or provide her contact information as required by FRCP 26.

Lori Rifkin, Esq.

Rifkin Law Office

[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)

[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)

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[Quoted text hidden]

Lori Rifkin <lrifkin@rifkinlawoffice.com>

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## Claypole; your voicemail

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**Peter Bertling** <pgb@bertling-clausen.com>

Thu, Jul 23, 2015 at 9:04 AM

To: Lori Rifkin <lrifkin@rifkinlawoffice.com>

Hi Lori:

I have provided you with dates when Ms. Spano told me she was available for deposition. When do you intend to notice her deposition? Do you intend to notice it for a date other than August 31, 2015? You previously told me you were available on this date for depositions.

Please let me know your intentions regarding scheduling the second session of Dr. Fithian's deposition for August 21, 2015.

Please confirm the time and location for the deposition of Dr. Fithian that is scheduled to take place on July 31, 2015 in Morgan Hill as previously agreed.

Please confirm the time and location of Ms. Shene's deposition on August 28, 2015 as previously agreed. Our office worked with you in good faith to schedule this deposition when we had no obligation to do so.

I believe you have an obligation to try and arrange Ms. Spano's deposition on a date that is acceptable to the parties and not unilaterally schedule it without conferring with counsel about the date.

I continue to take the position I am willing to try and coordinate a date for Ms. Spano's deposition that is acceptable to the witness and counsel. It would be unprofessional for you to set her deposition on a date when I have already told you she is not available. Again, she is a nonparty witness and has not been named as a defendant in this litigation.

Regards,

Pete

[Quoted text hidden]

Lori Rifkin <lrifkin@rifkinlawoffice.com>

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## Claypole; your voicemail

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Lori Rifkin <lrifkin@rifkinlawoffice.com>

Thu, Jul 23, 2015 at 1:56 PM

To: Peter Bertling <pgb@bertling-clausen.com>

Cc: Josh Piovita-Scott <jps@hadsellstormer.com>, Mohammad Tajsar <mtajsar@hadsellstormer.com>

Peter,

Your claim of "prompt" communication and notification of availability is undermined by the fact that we served these deposition notices on June 29, 2015, and have been attempting to confirm dates with your office since then (see, e.g., the communications I attached to prior emails). Yet you did not notify us of these changes in availability (or availability of Ms. Shene or Ms. Spano at all) until I reached out to your office again this week. In the interim, we moved forward with scheduling the rest of the depositions in this case, which involves coordinating among five entities.

We repeatedly asked your office for dates the week of August 24 for Dr. Fithian's individual deposition, which was noticed for August 27. At your request this week, we re-scheduled that to August 31st, despite that being outside the date range we requested. This week, you provided a single day of availability in the entire month of August for Ms. Shene, which we accommodated. Now you have provided effectively a single day of availability for Ms. Spano because you provided only one date on which you are willing to agree to have her deposition taken, and that is the same date as the date now scheduled for Dr. Fithian's deposition. We are unavailable to take Dr. Fithian's deposition on August 21, and, in any case, that would not provide enough time for production and review of any documents CFMG is compelled to produce in response to plaintiff's motion, unless CFMG wants to produce Dr. Fithian for a third date of deposition.

Your office accepted service of a deposition notice on Ms. Spano's behalf, and explicitly stated plaintiff did not have to serve a subpoena. You now take the position that plaintiff was required to serve a subpoena and that Ms. Spano is a non-party witness whom CFMG does not control. Yet you are refusing to provide contact information for Ms. Spano so that plaintiff can effect service of this subpoena. This constitutes obstruction of the discovery process and is sanctionable. We will file with the Court tomorrow if you continue to refuse to provide contact information for Ms. Spano as required by FRCP 26.

As I have previously and repeatedly stated, we will provide a time and location for Dr. Fithian's deposition next week as soon as we have it. As a reminder, we rescheduled both the date and location of this deposition this week, at your request.

Sincerely,

Lori Rifkin, Esq.

Rifkin Law Office

[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)

[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)

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Lori Rifkin <lrifkin@rifkinlawoffice.com>

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## Claypole; your voicemail

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**Peter Bertling** <pgb@bertling-clausen.com>

Thu, Jul 23, 2015 at 2:22 PM

To: Lori Rifkin <lrifkin@rifkinlawoffice.com>

Cc: Josh Piovia-Scott <jps@hadsellstormer.com>, Mohammad Tajsar <mtajsar@hadsellstormer.com>

Hi Lori:

Are you willing to proceed with Ms. Spano's deposition on August 31 in Monterey or not? If not, please explain why not.

Regards,

Pete

[Quoted text hidden]

Lori Rifkin <[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)>

---

## Claypole; your voicemail

---

**Lori Rifkin** <[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)>

Thu, Jul 23, 2015 at 2:28 PM

To: Peter Bertling <[pgb@bertling-clausen.com](mailto:pgb@bertling-clausen.com)>

Cc: Josh Piovia-Scott <[jps@hadsellstormer.com](mailto:jps@hadsellstormer.com)>, Mohammad Tajsar <[mtajsar@hadsellstormer.com](mailto:mtajsar@hadsellstormer.com)>

We will be sending an amended notice for Dr. Fithian's deposition for August 31. I have repeatedly explained this in my prior emails. It is unreasonable that you have offered the 31st as the only possible day during July or August for Ms. Spano's half-day deposition in Monterey, given that it was noticed for August 10 on June 29, one and a half months prior.

Lori Rifkin, Esq.

Rifkin Law Office

[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)

[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)

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[Quoted text hidden]

Lori Rifkin <lrifkin@rifkinlawoffice.com>

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## Claypole; your voicemail

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**Peter Bertling** <pgb@bertling-clausen.com>

Fri, Jul 24, 2015 at 7:46 AM

To: Lori Rifkin <lrifkin@rifkinlawoffice.com>

Cc: Josh Piovio-Scott <jps@hadsellstormer.com>, Mohammad Tajsar <mtajsar@hadsellstormer.com>

Hi Lori:

I spoke with Ms. Spano last night and she is "absolutely not" available on August 10, 2015. She can be deposed on August 31 or the following Monday. I know that may be beyond the discovery cut off date but I would stipulate to extend the discovery cut off in order to take her deposition. Please remember that I am dealing with a nonparty witness who is making herself available on dates that she is not treating mental health care patients. She has limited availability. The fact you essentially waited until the 11th hour to start scheduling these depositions should not justify your uncooperative nature regarding scheduling the deposition of Ms. Spano. She is available on August 31 in Monterey and I suggest you schedule her deposition for that date.

We already have the deposition of Dr. Fithian set for July 31. It is speculation whether we will even need to have a second deposition. You have assured me you will do everything you can to complete his deposition on July 31 in his capacity as both a PMK and defendant in this litigation. I see no reason why that cannot be accomplished particularly when I've already told you that Dr. Fithian is available until 7:00 PM that evening and can start the deposition as early as 7:00 AM. If his deposition cannot be completed on the 31st then we will know whether a second deposition is even necessary. One of the major reasons there are limited dates for depositions is because you waited until the 11th hour to start scheduling them and you have scheduled numerous depositions which limit the number of days to depose other individuals. In addition, I am not available for several days during the last two weeks of August because of a trial commitment. My schedule has opened up during the first week in August and I could also potentially be available for Dr. Fithian's deposition on August 2, 3 or 4. I will need to see if Dr. Fithian is available on one of these days. Are you?

You previously noticed Dr. Fithian's to start at 10:00 AM. I will notify him that is the time his deposition will be starting in Morgan Hill on July 31, 2015. If you want to schedule it earlier so we can avoid the need for a second deposition we are certainly willing to do so. Please confirm the location.

Have you been able to set up a court reporter in Norfolk, Virginia where Ms. Shene can be deposed on August 28, 2015? What time will her deposition commence? She needs to know ASAP.

Regards,

Pete

[Quoted text hidden]

Lori Rifkin <lrifkin@rifkinlawoffice.com>

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## Claypole; your voicemail

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**Lori Rifkin** <lrifkin@rifkinlawoffice.com>

Fri, Jul 24, 2015 at 10:10 AM

To: Peter Bertling <pgb@bertling-clausen.com>

Cc: Josh Piovia-Scott <jps@hadsellstormer.com>, Mohammad Tajsar <mtajsar@hadsellstormer.com>

Peter,

If Ms. Spano is available August 2, 3, or 4, we can take her deposition then. Otherwise, we will agree to your proposal to depose her after the discovery cut-off on the Monday following August 31, which is September 7 (Labor Day). However, if we do depose her September 7, we will need to file a stipulation from all parties & proposed order with the Court. Can you check with the other Defendants' counsel to see if they will stipulate to this, given Ms. Spano's limited availability resulting from her non-party and non-party employee status?

We will provide amended deposition notices with location and time today for Dr. Fithian and Ms. Shene. Dr. Fithian's deposition will be noticed for 9:30 a.m. in Morgan Hill.

Lori Rifkin, Esq.

Rifkin Law Office

[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)

[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)

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[Quoted text hidden]

Lori Rifkin <lrifkin@rifkinlawoffice.com>

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## Claypole; your voicemail

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**Peter Bertling** <pgb@bertling-clausen.com>

Fri, Jul 24, 2015 at 11:59 AM

To: Lori Rifkin <lrifkin@rifkinlawoffice.com>

Hi Lori:

No. She is available on August 31 in Monterey. I fail to see why you are so unwilling to schedule her deposition on this date to accommodate her schedule. She had also offered August 24 but as I told you I am not available because I will be in trial.

Are you available on August 3, 4, 5 or 7 for a second deposition of Dr. Fithian if necessary? I have asked him if he is available on one of these days and am waiting for a response. He is also available on August 21.

I need to know the time you intend to start the deposition of Ms. Shene on August 28. I also need you to confirm the time of Dr. Fithian's deposition on July 31.

Regards,

Pete

[Quoted text hidden]

[Quoted text hidden]



Lori Rifkin <lrifkin@rifkinlawoffice.com>

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## Claypole; your voicemail

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Lori Rifkin <lrifkin@rifkinlawoffice.com>

Fri, Jul 24, 2015 at 2:49 PM

To: Peter Bertling <pgb@bertling-clausen.com>, Josh Piovia-Scott <jps@hadsellstormer.com>, Mohammad Tajsar <mtajsar@hadsellstormer.com>, Jessica Valdenegro <jessicav@hadsellstormer.com>

Peter,

You just wrote this morning at 7:46 a.m. that Ms. Spano is available for deposition on September 7 and offered to stipulate to do her deposition after the cut-off date: "I spoke with Ms. Spano last night and she is "absolutely not" available on August 10, 2015. She can be deposed on August 31 or the following Monday. I know that may be beyond the discovery cut off date but I would stipulate to extend the discovery cut off in order to take her deposition."

**I wrote you an email at 10:10 a.m. agreeing to this, as long as the other defense counsel are willing to so stipulate. Are you now taking the position that Ms. Spano is not available on September 7?**

As I have repeatedly written, Dr. Fithian's second deposition date must be scheduled after the production of ESI documents and after the hearing on the motion to compel and allow time for production of any documents, unless you are willing to stipulate to Dr. Fithian coming back for a 3rd day if there is additional production of documents.

Regarding your other questions, amended deposition notices were already sent out with times and locations.

Please respond by email or voicemail within the next hour regarding Ms. Spano's deposition so that we can file with the Court today if it is still necessary.

Lori Rifkin, Esq.

Rifkin Law Office

[www.rifkinlawoffice.com](http://www.rifkinlawoffice.com)

[lrifkin@rifkinlawoffice.com](mailto:lrifkin@rifkinlawoffice.com)

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Lori Rifkin <lrifkin@rifkinlawoffice.com>

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## Claypole; your voicemail

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**Peter Bertling** <pgb@bertling-clausen.com>

Fri, Jul 24, 2015 at 2:53 PM

To: Lori Rifkin <lrifkin@rifkinlawoffice.com>

Cc: Josh Piovita-Scott <jps@hadsellstormer.com>, Mohammad Tajsar <mtajsar@hadsellstormer.com>, Jessica Valdenegro <jessicav@hadsellstormer.com>

I did not see the email accepting September 7, 2015 as the deposition date for Ms. Spano. We will agree to that date.

[Quoted text hidden]

Rifkin Decl. in Supp of Mtn to Compel  
Discovery & for Sanctions

**Exh. G**

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

Estate of JOSHUA CLAYPOLE, )  
deceased, by and through SILVIA )  
GUERSENZVAIG, as Administrator; )  
SILVIA GUERSENZVAIG, )

Plaintiff, )

-vs- )

No. CV 14-02730 BLF

COUNTY OF SAN MATEO; SHERIFF )  
GREG MUNKS, in his individual )  
and official capacity; COUNTY OF )  
MONTEREY; SHERIFF SCOTT MILLER, )  
in his individual and official )  
capacity; SERGEANT E. KAYE, in )  
her individual capacity; CITY OF )  
MONTEREY; MONTEREY POLICE )  
DEPARTMENT CHIEF PHILIP PENKO, )  
in his official capacity; BRENT )  
HALL, in his individual and )  
official capacity; CALIFORNIA )  
FORENSIC MEDICAL GROUP; DR. )  
TAYLOR FITHIAN, in his )  
individual capacity; COMMUNITY )  
HOSPITAL OF MONTEREY PENINSULA; )  
and DOES 1 through 30, )

Defendants. )  
\_\_\_\_\_ )

DEPOSITION OF

WILLIAM TAYLOR FITHIAN, III, M.D.

MORGAN HILL, CALIFORNIA

AUGUST 31, 2015

Reported By:  
MARTHA RUBLE  
CSR-5145  
NO. 15-36243



KARYN ABBOTT  
& ASSOCIATES

COURT REPORTERS

70 S. Lake Avenue, 10th Floor

Pasadena, California 91101

Phone 213.749.1234 | 213.749.0644

1 Q. Beginning of 2014?

2 A. I believe so.

3 Q. Okay. And what is your role in Correctional  
4 Medical Group Companies' provision of health services in  
5 these other states?

6 A. I'm a doctor. I'm a doctor.

7 Q. Are you the medical director?

8 A. No.

9 MS. RIFKIN: Can we mark this as 90.

10 (Whereupon Plaintiff's Exhibit 90 was marked  
11 for identification.)

12 BY MS. RIFKIN:

13 Q. So you've been handed what's been marked  
14 Exhibit 90, and this is printout from the website for  
15 Southwest Correctional Medical Group Incorporated. That  
16 is one of the names that Correctional Medical Group  
17 Companies operates under, right?

18 A. Yes, ma'am.

19 Q. And this web page has lists and pictures of the  
20 executive team for Southwest Correctional Medical Group,  
21 and you are listed as medical director for Correctional  
22 Medical Group Companies; is that accurate?

23 A. It is not.

24 Q. Was it ever accurate?

25 A. No.

1 Q. You should probably correct the website.

2 What position do you hold with Correctional  
3 Medical Group Companies?

4 MR. BERTLING: I have been allowing you to ask  
5 some of these questions. I'm not going to let you ask  
6 any more. It's totally irrelevant.

7 Correctional Medical Group Companies, Southwest  
8 Correctional Medical Group is not a defendant in this  
9 lawsuit. This organization was formed after the death of  
10 Mr. Claypole. And so you've been able to ask a few  
11 ground questions. I'm not going to let you ask any more,  
12 and I will instruct the witness not to answer.

13 MS. RIFKIN: Well, relevancy is not an  
14 appropriate grounds for a direction not to answer.  
15 Relevancy is a motion later before a court. As you know,  
16 Dr. Fithian is an individual defendant. I'm asking about  
17 Dr. Fithian's role in providing these services. If you  
18 are going to instruct him not to answer, I'm going to try  
19 the get the Court on the phone.

20 MR. BERTLING: Okay. Why don't you do that,  
21 because you're asking questions about an organization  
22 that didn't exist. That's irrelevant in this lawsuit.  
23 And if you want to get the Court on the phone, that  
24 probably would be a good idea.

25 MS. RIFKIN: Can you give me a cite to where

1 relevancy is a grounds under the Federal Rules of Civil  
2 Procedure not to answer? Can you give me a cite, Peter?

3 MR. BERTLING: I'm instructing the witness not  
4 to answer. I'm not going to be here giving you any kind  
5 of legal advice.

6 BY MS. RIFKIN:

7 Q. Dr. Fithian, I want you to know that if you  
8 follow your counsel's direction not to answer, and we  
9 have to bring you back here to a fourth day of testimony,  
10 we will move for sanctions. We will move for the costs  
11 and fees for that deposition.

12 Are you going to follow your counsel's  
13 instruction not to answer?

14 A. What would you recommend, ma'am? Of course, I  
15 am.

16 MR. BERTLING: Okay. This is just a fishing  
17 expedition. There is no relevancy whatsoever, and so  
18 please move on.

19 MS. RIFKIN: All right. Let's go off the  
20 record.

21 (Discussion off the record.)

22 MS. RIFKIN: All right. So my co-counsel is  
23 currently contacting the judge's chambers. And as soon  
24 as we are able to find out a time for a call, we will do  
25 that. In the meantime, I will continue in a different

1 you know, always have to look retrospectively at their  
2 own pattern of practice to see if they could have done  
3 something different. So I mean, have I ever been  
4 self-critical? Of course, I have.

5 Q. I'm going to move to strike that as  
6 nonresponsive and ask the question again.

7 Have you ever --

8 MR. BERTLING: I think the question was  
9 actually --

10 MS. RIFKIN: Please don't interrupt me.

11 MR. BERTLING: I think the question was --

12 MS. RIFKIN: Counsel, please do not interrupt me  
13 for the record, so that I can finish my statement before  
14 you do. This is not acceptable behavior, Mr. Bertling.

15 MR. BERTLING: The fact that you don't like his  
16 answer doesn't mean you have a reason to strike it. I  
17 think it was a perfectly responsive answer. Move on with  
18 your next question and don't raise your voice at me.  
19 It's not becoming of a woman or an attorney who is acting  
20 professionally under the rules of professional  
21 responsibility.

22 MS. RIFKIN: Interrupting counsel when they are  
23 making an objection is not becoming to a professional.  
24 It's not becoming to you. And making sex- and  
25 gender-based remarks about opposing counsel on the record



1 call two days following the incident was appropriate?

2 A. Again, I --

3 MR. BERTLING: First of all, let me just object  
4 that it lacks foundation. It calls for speculation. We  
5 have provided him very limited information regarding the  
6 patient. We have not provided the complete medical  
7 records. It calls for an expert opinion.

8 But go ahead and answer the question to the  
9 extent you can with this limited information.

10 THE WITNESS: I think from -- again, from what  
11 Mr. Bertling pointed out, he provided me Monterey County  
12 Sheriff's Crime Incident Report. It's not a medical  
13 record. And from what is described here -- and again,  
14 from my statement that people that remain in receiving  
15 are seen typically every shift by medical staff, not just  
16 every two days. So, again, I think from what is  
17 reflected in this document, I don't think I would have  
18 changed anything.

19 BY MS. RIFKIN:

20 Q. Are you familiar with the September 28th, 2014,  
21 suicide of Mikol Stewart in Sonoma County Jail?

22 A. What jail?

23 Q. Sonoma County Jail.

24 MR. BERTLING: So we are not going to go through  
25 any other suicides. We are here today for the treatment

1 and care that he provided to Mr. Claypole. That's what  
2 this deposition was for. The Court has allowed you to  
3 have a second -- another deposition where that  
4 information was covered. We are not going to go over  
5 that today. You've asked nothing about Mr. Claypole, and  
6 it's about time that you did.

7 MS. RIFKIN: Peter, Dr. Fithian is here as an  
8 individual defendant. It's not a 30(b)(6). We are not  
9 required to notice topics. You can object on relevance.  
10 And the time to take that up with the Court is before  
11 trial. That is not grounds to instruct your client not  
12 to answer here.

13 MR. BERTLING: Well, he has not reviewed the  
14 documentation that you're referring to, so you're  
15 probably not going to get answers. If you want to waste  
16 time -- you got seven hours on this deposition. He is  
17 not going to be made available for additional time. So  
18 do whatever you need to do.

19 MS. RIFKIN: Why are you testifying about what  
20 documentation or what your client is familiar with?  
21 Please stop advising your client on how to answer. You  
22 know that the federal rules prohibit speaking objections.  
23 They prohibit statements, for example, such as, "If you  
24 can understand the question, go ahead." I have allowed  
25 you to keep saying them because I didn't want to disrupt

1 the deposition, but you know those comments are not  
2 allowed.

3 MR. BERTLING: I'm not doing anything that's  
4 inappropriate. Please move forward with your questions.

5 BY MS. RIFKIN:

6 Q. Dr. Fithian, are you familiar with the  
7 September 28th, 2014, suicide of Mikol Stewart at Sonoma  
8 County Jail?

9 A. Not independently, Counselor.

10 Q. Do you remember that he hung himself in his  
11 cell?

12 A. I don't remember independently, Counselor.

13 Q. Do you remember if you were involved with the  
14 quality assurance assessment following Mikol Stewart's  
15 death?

16 A. Again, I don't -- if you have the document to  
17 show me, I would be more than happy to view it.

18 Q. Are you familiar with the March 24th, 2015,  
19 suicide of Sandra Vela in Monterey County Jail?

20 A. Who?

21 Q. Sandra Vela in Monterey County Jail.

22 A. I think so. Again, if you have the document for  
23 me to review, that would be helpful.

24 Q. This is a suicide that happened about five  
25 months ago in Monterey County Jail.

1 THE WITNESS: I'm out of the state, Peter.

2 BY MS. RIFKIN:

3 Q. All right. Well, then, in that case I'm sorry,  
4 Dr. Fithian.

5 A. Let's just go ahead, Counselor.

6 Q. Okay.

7 MR. BERTLING: We can just raise it with the  
8 judge.

9 THE WITNESS: I'm leaving on the 9th. I think I  
10 get back the 17th, actually.

11 MR. BERTLING: All right. We will just bring it  
12 up with the judge, then.

13 BY MS. RIFKIN:

14 Q. Dr. Fithian, how are you paid by CFMG? Is it a  
15 salary basis?

16 A. Is what?

17 Q. Are you paid by CFMG on a salary basis?

18 A. Yes, I am.

19 Q. And are you paid separately for your services as  
20 a psychiatrist at Monterey County Jail than from your  
21 other roles at CFMG?

22 MR. BERTLING: I'm going to instruct you not to  
23 answer. This is relevance, his pay structure.

24 MS. RIFKIN: Can you repeat? I'm sorry. What  
25 are the grounds that you're instructing him not to answer

1 how he is paid?

2 MR. BERTLING: That's not calculated to lead to  
3 the discovery of admissible evidence.

4 MS. RIFKIN: Do you have any legal grounds for  
5 that, Peter? I'm asking about his pay structure at his  
6 employer.

7 MR. BERTLING: It's irrelevant. It doesn't lead  
8 to the -- it's not reasonably calculated to lead to the  
9 discovery of admissible evidence. Why are you asking the  
10 question?

11 MS. RIFKIN: Dr. Fithian's interest in the  
12 financial services that he provides is directly relevant  
13 to this case.

14 MR. BERTLING: Well, you learned that he is paid  
15 on a salary. You're not going to learn anything about  
16 how much he makes, so please move on.

17 MS. RIFKIN: Can you read back the question that  
18 was instructed not to answer, please.

19 (The record was read back as follows:

20 "And are you paid separately for your  
21 services as a psychiatrist at Monterey  
22 County Jail than from your other roles  
23 at CFMG?")

24 MR. BERTLING: You can answer that question.

25 THE WITNESS: Yes.

1 Q. How much are you paid per hour for your services  
2 as a staff psychiatrist at Monterey County Jail?

3 MR. BERTLING: Don't answer that. That violates  
4 his financial right to privacy under the constitution.  
5 It's not calculated to lead to the discovery of  
6 admissible evidence. And please move on.

7 MS. RIFKIN: Our contention is that it's  
8 relevant, especially to the area of punitive damages.  
9 Does your instruction still remain?

10 MR. BERTLING: It is. In fact, in this case,  
11 you're required under California State law to file a  
12 motion which allows you to include a claim for punitive  
13 damages against a health care provider under CCP 425.13.  
14 You haven't done that. So he is not going to answer  
15 questions about how much money he makes.

16 MS. RIFKIN: Well, that's not actually true in  
17 federal court, and you didn't raise that in a motion to  
18 dismiss. We have moved for punitive damages. So it  
19 stands. We will bring this up with the Court on our call  
20 that is coming up.

21 BY MS. RIFKIN:

22 Q. Dr. Fithian, how -- are you paid just -- in  
23 addition to your wages as a psychiatrist at Monterey  
24 County Jail, what other streams of income do you have  
25 from CFMG?

1 Q. Were those a percentage of the net profits?

2 A. I'm sorry?

3 Q. Were those a percentage of the net profits?

4 A. You know, I don't know honestly how it was based  
5 on. I'm a doctor, not a financial person.

6 Q. When CFMG acquires an additional contract with  
7 the jail, do you get any additional payment?

8 A. No, ma'am.

9 Q. And how are you paid? On what basis are you  
10 paid for your position with CHOMP as a staff  
11 psychiatrist?

12 MR. BERTLING: Well, that's irrelevant; and  
13 again, I'm going to be instruct him not to answer that  
14 question.

15 MS. RIFKIN: I'm entitled to explore the scope  
16 of Dr. Fithian's employment.

17 MR. BERTLING: You've already asked him where he  
18 has been employed, where he works. You're not entitled  
19 to know what he makes from every different organization  
20 he might be involved with.

21 MS. RIFKIN: That question asked about the type  
22 of -- the type of payments he received, in other words,  
23 salary or hours.

24 MR. BERTLING: Objection. Vague and ambiguous  
25 as to time.

1 BY MS. RIFKIN:

2 Q. Okay. Are you paid on -- how are you paid from  
3 CHOMP; in other words, on a salary basis or an hourly  
4 basis or something else?

5 MR. BERTLING: Well, vague and ambiguous as to  
6 time. If it's now, it's not calculated to lead to the  
7 discovery of admissible evidence.

8 BY MS. RIFKIN:

9 Q. As of 2013.

10 A. I think I made about \$200 a month from CHOMP.

11 Q. Was that hourly or a salary?

12 A. I was not employed. I was an independent  
13 contractor.

14 (Reporter clarification.)

15 THE WITNESS: \$250 month is what I make from  
16 Community Hospital of Monterey Peninsula.

17 BY MS. RIFKIN:

18 Q. Through the services that you provide to jails  
19 in Oregon, are you also an independent contractor?

20 A. I don't provide direct services to the contract  
21 in Oregon.

22 Q. Okay. What do you provide in Oregon?

23 A. Administrative overview, peer review,  
24 consultation, training.

25 Q. In your 30(b)(6) testimony, you had said that



1 ma'am.

2 Q. And is that under your salary for CFMG?

3 A. Yes, ma'am.

4 Q. Do you have -- do you know the value of all  
5 properties and other assets owned by you, Dr. Fithian?

6 A. Do I know the value of what?

7 Q. All properties and other assets owned by you.

8 A. Do I know the value and assets owned by myself?

9 No, ma'am, I don't know the exact number.

10 Q. Do you have an estimate of your net worth?

11 MR. BERTLING: I'm going to instruct him not to  
12 answer that question.

13 BY MS. RIFKIN:

14 Q. Do you have ownership in any business entities  
15 other than CFMG, Dr. Fithian?

16 A. I have a retail store. I'm a partner up in  
17 Medford, Oregon.

18 Q. What kind of --

19 A. Not even -- not a part of the retail. I own a  
20 commercial building in partnership with somebody else  
21 that I get rent from in Oregon.

22 Q. Anything else?

23 A. That's it.

24 Q. Are you -- do you have any ownership interest in  
25 any horse stables or equestrian centers?

1           A. I don't remember if I had his whole chart or  
2 not. He had been in custody twice before, in April and  
3 July of 2012. I don't remember.

4           Q. Would it have been your practice to look at  
5 those charts?

6           A. It would have been my practice to look at those  
7 records, yes, ma'am.

8           Q. And you don't remember if you did that or not?

9           A. No, I don't.

10          Q. If you had, would you have stated that on your  
11 progress notes?

12          A. Not necessarily.

13               MR. BERTLING: (Indicating.)

14               THE WITNESS: On my progress note dated 5-12,  
15 which is dated -- time of dictation at 10:00 o'clock, I  
16 put down that patient has been in jail before. And I  
17 don't know if that is a reflection of my having reviewed  
18 his medical records or a statement that Mr. Claypole made  
19 to me.

20 BY MS. RIFKIN:

21          Q. Okay. When you made the statement in your  
22 progress notes, "Obviously, he is upset about his current  
23 situation," what did you mean by that?

24          A. Well, Mr. Claypole was a young man. Although he  
25 had been in jail before, this was a different set of

1 A. I don't believe I did.

2 Q. Did you direct anybody else to request Josh's  
3 records from CHOMP?

4 A. I don't believe I did.

5 Q. If you can turn to the nursing assessment of the  
6 psychiatrist and suicidal inmate that was on May 2nd.  
7 This is at the beginning of the packet Bates stamped with  
8 a 00005.

9 A. Yes, ma'am.

10 Q. Would you have reviewed -- actually, I think you  
11 already testified that you would have reviewed this  
12 assessment prior to or during your meeting with Josh,  
13 correct?

14 A. Yes, ma'am.

15 Q. And in the middle of this page under medical and  
16 psychiatric history, it's written that patient, Josh,  
17 states that mom is bringing meds in; is that right?

18 A. Yes, ma'am.

19 Q. Okay. Did you ask Josh about his family during  
20 your meeting with him on May 2nd?

21 A. I don't remember.

22 Q. Did you ask him about --

23 MR. BERTLING: Just go ahead.

24 MS. RIFKIN: I'm sorry, Counsel, can you just  
25 state whatever it was on the record that you told your --

1 MR. BERTLING: No. Ask your question.

2 BY MS. RIFKIN:

3 Q. Well, did you discuss Josh's mother, Silvia  
4 Guersenzvaig, with him during your meeting with him on  
5 May 2nd, 2013?

6 A. I don't remember, Counselor. I don't remember  
7 if I did or not. I know that I signed off on the record,  
8 but I don't know if I asked him directly about his mom.

9 Q. Is one of the factors to consider during a  
10 suicide risk assessment whether a patient has support  
11 from family?

12 A. Yes.

13 Q. And your progress note doesn't discuss any  
14 mention of any conversation with Josh about whether or  
15 not he has family support, right?

16 A. Only that his mother was bringing his  
17 medication.

18 Q. That's not your progress note, right? That's  
19 the nursing assessment?

20 A. Yes, that is correct.

21 Q. But your progress note doesn't contain any  
22 records of a conversation with Josh about his family or  
23 his mother?

24 A. That's correct.

25 Q. Did you contact Josh's mom?

1 A. I did not.

2 Q. Did you direct anyone else to contact Josh's  
3 mom?

4 A. Not to my recollection.

5 Q. Did you contact Josh's attorney, the one who had  
6 apparently reported to Ms. McGregor that he was suicidal?

7 A. I don't even think I knew who his attorney was  
8 at that time.

9 Q. Okay. After you saw Josh on the morning of  
10 May 2nd, as reflected in your progress notes that we have  
11 been discussing, when was the next time you saw him or  
12 learned any information about him?

13 A. I did not see Joshua again until -- I did not  
14 see Joshua again personally.

15 Q. When was the next time, if any, that you learned  
16 any information about Josh?

17 MR. BERTLING: I don't --

18 MS. RIFKIN: And I would just like the record to  
19 reflect that Dr. Fithian's attorney has pointed him and  
20 directed him to a certain page in the records. And I  
21 would like to ask counsel to let his client answer, and  
22 I'm going to continue to note these on the record and  
23 will seek sanctions if you continue to do this. You know  
24 it's improper behavior.

25 MR. BERTLING: There is nothing that I'm doing

1 improper. I'm handing him his medical records. What I  
2 actually showed him was CFMG-JC 0091. You were asking  
3 him when he next heard information about him. I'm just  
4 trying to show him records so he can refresh his  
5 recollection.

6 MS. RIFKIN: Is it your contention, Counsel,  
7 that the records you just gave him are not part of the  
8 packet, the exhibit packet that is currently in front of  
9 him?

10 MR. BERTLING: They are part of the exhibit  
11 package.

12 MS. RIFKIN: And you handed him a document that  
13 was outside of that exhibit packet, didn't you? You  
14 handed him your version of it. I want the record to  
15 reflect what you did.

16 MR. BERTLING: No, I handed him what you handed  
17 me.

18 MS. RIFKIN: That's right. And Dr. Fithian had  
19 his own copy of the exhibit in front of him. I handed  
20 you that as a courtesy, not so that you can --

21 MR. BERTLING: I appreciate it.

22 MS. RIFKIN: -- not so that you could point out  
23 and direct Dr. Fithian to testify in a certain way.

24 MR. BERTLING: Don't you want fair and accurate  
25 testimony from him? I'm showing him a record that he can

1     testify from. I didn't tell him to say anything. I'm  
2     showing him records. You asked him what is the next time  
3     you had some information about the patient. So I handed  
4     him a record. You can ask him about it. That's it.

5             MS. RIFKIN: The purpose of the testimony in a  
6     deposition is to elicit Dr. Fithian's testimony. If he  
7     answers that he doesn't recall, we can follow that up, as  
8     appropriate. But you are obstructing and interfering  
9     with my ability to elicit honest and the best  
10    recollection of my client -- or not my client -- of your  
11    client.

12            MR. BERTLING: Ask him the question.

13            MS. RIFKIN: Dr. Bertling, I've noted several  
14    times on the record. If you do it again, I will seek  
15    sanctions.

16            MR. BERTLING: Just move forward. I haven't had  
17    him testify about anything. I've shown him documents  
18    that will help him to respond to your questions. Is  
19    there something that prohibits me from doing that?  
20    Please move forward with your questions.

21            MS. RIFKIN: There is. It's called the Federal  
22    Rules of Civil Procedure.

23    BY MS. RIFKIN:

24            Q. Now, Dr. Fithian, when was the next time after  
25    you met with Josh the morning of May 2nd when you learned

1 any information about him, if any?

2 A. It appears that Kim Spano, a marriage and family  
3 therapist, contacted me after she saw Mr. Claypole on  
4 5-3-2013 at about 7:00 o'clock in the evening.

5 Q. And from what -- first of all, do you have  
6 any -- you're looking at the document your counsel  
7 directed you to, 000091; is that correct?

8 A. That's a progress note by Ms. Spano. Yes,  
9 ma'am.

10 Q. And do you have any independent recollection of  
11 Ms. Spano contacting you at that time?

12 A. No, I don't, Counselor.

13 Q. And from what do you infer that she contacted  
14 you in this record?

15 A. About her assessment and interview with  
16 Mr. Claypole.

17 Q. Yes. And how are you -- you do not have an  
18 independent recollection that she called you, how -- on  
19 what are you basing your testimony that she contacted  
20 you?

21 A. I think -- if you let me read through it, I'll  
22 be glad to try to respond to that.

23 Q. You already gave me an answer, Dr. Fithian.

24 A. What?

25 MR. BERTLING: Don't argue with the witness.



1           A. You know, we have policies and procedures and  
2 professional people that are trained to assess people in  
3 safety cells, and then they pass that information on to  
4 me, whoever the on-call provider is. And we make a joint  
5 determination if it's appropriate to release someone from  
6 the safety cell. So it's not like Joshua was placed in a  
7 safety cell.

8           And even though Ms. Spano said he would be  
9 followed up on Monday, he obviously was followed up much  
10 more acutely by a professional with consultation. So I  
11 think our policies and procedures were followed.

12          Q. And the professional that you're referring to  
13 that followed up with Josh, are you referring to the RN  
14 who saw him on a -- for the suicide assessments to take  
15 place every six hours?

16          A. Yes, that's correct, ma'am.

17          Q. And did anybody on May 3rd, when Josh was placed  
18 back on suicide watch, did anybody complete a suicide  
19 risk assessment for him at that time?

20               MR. BERTLING: Lacks foundation that that's part  
21 of the policies and procedures.

22               THE WITNESS: I can find the nursing assessment  
23 done when he was placed back in the safety cell. It  
24 looks like a safety cell assessment was done on -- when  
25 he was placed back in the safety cell on 5-3, I believe

1 Q. Was there a suicide risk assessment completed?

2 A. No, there was not.

3 Q. Why didn't you go into the county jail to see  
4 Josh after you were notified by Ms. Spano that he was  
5 placed on suicide watch for the second time in 24 hours?

6 A. What was the question, ma'am?

7 Q. Why didn't you go into the Monterey County Jail  
8 to see him when Ms. Spano notified you that he had been  
9 placed on suicide watch again?

10 A. Because I relied upon the evaluations done by  
11 our psych -- our mental health staff and our medical  
12 staff to help make that decision. If I felt that  
13 Mr. Claypole was in imminent danger to himself, he was  
14 beyond the management that could have been safely  
15 provided, I would have gone back. There wasn't anything  
16 that led me to believe that that was necessary, that I  
17 personally go back on-site.

18 Q. And what evaluation did any medical or mental  
19 health staff do of Josh at the time that he was placed  
20 back on suicide watch on May 3rd?

21 MR. BERTLING: Other than what you just went  
22 over with Ms. Spano's note?

23 MS. RIFKIN: Again, I would ask counsel not to  
24 testify.

25 MR. BERTLING: I'm not testifying.

1           A. I don't know if Dr. Dale Bucke reviewed it. He  
2 has access to any record in the jail. Our expectation is  
3 that when we have a bad outcome, that those are cases we  
4 would like our external peer review consultant to do. I  
5 don't know if Dr. Bucke did that or not, Counselor.

6           Q. Who at CFMG for Monterey County is responsible  
7 for requesting that Dr. Bucke review certain files in his  
8 audit?

9           A. Well, I think I'm partly responsible for that.  
10 You know, when you set up an external peer review  
11 consultant, you ask them, you know, to do random chart  
12 reviews, to do focused reviews. And our pattern of  
13 practice has been to have the external peer review  
14 consultant, whether it's Dr. Bucke or someone else in one  
15 of our counties, to review in-custody deaths. I don't  
16 know if Dr. Bucke did that or not.

17           Q. Was there a written corrective action plan  
18 developed relating to anything with Josh Claypole's  
19 death?

20           MR. BERTLING: That lacks foundation that one  
21 was necessary.

22           Go ahead.

23           THE WITNESS: Again, I think just what's  
24 reflected in the quality assurance. I don't  
25 remember believing that any additional action plan needed

1 Q. Yes.

2 A. Yes, I'm aware of them.

3 Q. Are you aware that the court found that  
4 conducting health and safety checks of inmates in  
5 segregation or lockdown units only once per hour violated  
6 correctional standards?

7 MR. BERTLING: Objection. Lacks foundation,  
8 calls for speculation. That had nothing to do with CFMG.

9 If you know.

10 THE WITNESS: I think, again, I think  
11 Mr. Bertling is correct. I think, my recollection, there  
12 have been some recommendations by the court and some  
13 suggestions by the plaintiff's attorney that welfare  
14 checks be done differently, yes.

15 BY MS. RIFKIN:

16 Q. You testified in your PMK deposition that you  
17 believed that the welfare checks done by custody officers  
18 in lockdown units are part of the overall suicide  
19 prevention plan for Monterey County?

20 A. Yes, ma'am.

21 Q. And do you agree that health and safety checks  
22 of inmates in segregation or lockdown units only one per  
23 hour is insufficient?

24 MR. BERTLING: Objection. Incomplete  
25 hypothetical. Vague and ambiguous. Exceeds the scope of

1 lockdown pod but try to make some other arrangement per  
2 classification.

3 I think we are doing welfare checks much more  
4 routinely. I think we have looked at our policies and  
5 procedures, looked at what plaintiffs had to say, and I  
6 think it has been a learning experience. And I think we  
7 are all working together right now in a good spirit of  
8 cooperation to address concerns that have been brought up  
9 by the courts and by plaintiff's attorneys.

10 BY MS. RIFKIN:

11 Q. When you said that you added some additional  
12 questions to the intake screening, I know there is -- we  
13 have talked about various screenings. Is there one in  
14 particular that you're referring to?

15 MR. BERTLING: Well, lacks foundation that CFMG  
16 did it as opposed to the County.

17 But go ahead and answer the question if you can.

18 THE WITNESS: I think it was actually -- I think  
19 Dr. Hayward, who was an independent expert, made some  
20 recommendations. I think the sheriff's department took  
21 those recommendations under consideration. And I think a  
22 series of five additional questions were added to our  
23 intake health screening process.

24 BY MS. RIFKIN:

25 Q. And is that the -- if we can go back to

1 in your capacity as medical director for CFMG?

2 A. I think most of these war stories were in my  
3 capacity as being the medical director.

4 Q. And if you can look at the slide just before  
5 that one, it's CFMG-JC 001076, which lists predisposing  
6 factors in jail suicides.

7 To the best of your knowledge, as of May 2013  
8 was this list accurate?

9 A. I think so, yes, ma'am.

10 Q. I just have a couple more questions,  
11 Dr. Fithian, and then I think I'll be done.

12 A. Okay.

13 Q. When you saw Josh Claypole on the morning of  
14 May 2nd, 2013, did you contact anybody at the Monterey  
15 Police Department to ask them if they had made -- what  
16 observations, if any, they had made about Josh Claypole's  
17 behavior during the arrest process?

18 A. I did not.

19 Q. You listed under your responsibilities as a  
20 psychiatrist at Monterey County Jail, some of the things  
21 you listed were to review health records, to talk to  
22 other doctors in the community, family members, defense  
23 attorneys -- I'm sorry -- attorneys, including defense  
24 attorneys and review medical files, correct?

25 A. Yes, ma'am.

1 Q. Did you do any of that in Joshua Claypole's  
2 case?

3 A. I don't believe I did, ma'am.

4 MR. BERTLING: Lacks foundation that there was  
5 time to do that before committing suicide.

6 BY MS. RIFKIN:

7 Q. And why didn't you do that?

8 A. Again, Counselor, Mr. Claypole was in custody, I  
9 think, for about 48 hours. My interaction and my  
10 decision-making process were based on assessments done by  
11 others, by my own independent evaluation. And I thought  
12 the care and treatment provided at the time was  
13 appropriate.

14 If Mr. Claypole had remained in custody, had  
15 been a significant management problem, I would have  
16 reached out. And that's been my pattern of practice and  
17 continues to be my pattern of practice. And I could  
18 probably give you a 100 different scenarios where that  
19 continues to take place and has taken place, took place  
20 today and yesterday. So --

21 Q. How long would Mr. Claypole have had to be in  
22 jail in order for you to seek out information from other  
23 care providers or his family or other people in the  
24 community?

25 A. I wished I could honestly give you an exact time

1 average number of people that I cleared from a safety  
2 cell, and I think it's -- I would imagine, Mr. Bertling,  
3 an average would be maybe two a day, 14 a week. Multiply  
4 that out to four times, you know, that would be 60 times  
5 a month, 7 or 800 times a year times 30 years. That's a  
6 lot of people cleared from safety cells.

7 Q. Thank you. I have no further questions.

8 MS. RIFKIN: I do have a couple of follow-up  
9 questions.

10 EXAMINATION BY MS. RIFKIN

11 Q. Dr. Fithian, you just described the safety cell  
12 as a rubber room with a Turkish toilet and said that it  
13 would be inhumane to keep someone in there longer than  
14 they had to be; is that right?

15 A. Correct.

16 Q. And given those stark conditions, prior to  
17 May 1st, 2013, did you ever recommend to the County of  
18 Monterey that they modify their safety cells?

19 MR. BERTLING: Lacks foundation that there was  
20 any way to modify.

21 But go ahead and answer her question.

22 THE WITNESS: Did I ever recommend that they  
23 modify their safety cells? I don't think I ever made a  
24 recommendation to modify a safety cell. I think I made a  
25 recommendations consistently because we all work together



DEPOSITION OFFICER'S CERTIFICATE

State of California )

County of Santa Clara )

I, Martha Ruble, hereby certify:

I am a duly qualified Certified Shorthand Reporter in the State of California, holder of certificate number 5145, issued by the Court Reporters Board of California and which is in full force and effect. (Bus. & Prof. Section 8016)

I am not financially interested in this action and am not a relative or employee of any attorney of the parties, or any of the parties.

(Civ. Proc Section 2025.320 (a))

I am authorized to administer oaths or affirmations pursuant to California Code of Civil Procedure, Section 2093 (b), and prior to being examined, the witness was first duly sworn by me.

(Civ. Proc, Section 2025.320, 2025.5400 (a))

I am the deposition officer that stenographically recorded the testimony in the foregoing deposition, and the foregoing transcript is a true record of the testimony given by the witness.

(Civ. Proc. Section 2025.540 (a))

1 I have not, and shall not, offer or provide any  
2 services or products to any party's attorney or third  
3 party who is financing all or part of the action without  
4 first offering same to all parties or their attorneys  
5 attending the deposition and making same available at the  
6 same time to all parties or their attorneys.

7 (Civ. Proc. Section 2025.320 (b))

8 I shall not provide any service or product  
9 consisting of the deposition officer's notations or  
10 comments regarding the demeanor of any witness, attorney,  
11 or party present at the deposition to any party or  
12 party's attorney or third party who is financing all or  
13 part of the action, nor shall I collect any personal  
14 identifying information about the witness as a service or  
15 product to be provided to any party or third party who is  
16 financing all or part of the action.

17 (Civ. Proc. Section 2025.320 (b))

18  
19 Dated: September 17, 2015

20  
21   
22

23 Martha Ruble

24 CSR License No. 5145

25 \* \* \*

Rifkin Decl. in Supp of Mtn to Compel  
Discovery & for Sanctions

**Exh. H**

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

Estate of JOSHUA CLAYPOLE, )  
deceased, by and through )  
SILVIA GUERSENZVAIG, as )  
Administrator, et. al., )

Plaintiffs, )

vs. )

CASE NO. CV 14-02730 BLF

COUNTY OF SAN MATEO; )  
SHERIFF GREG MUNKS, in his )  
individual and official )  
Capacity; COUNTY OF )  
MONTEREY, et al., )

Defendants. )

DEPOSITION OF

DR. TAYLOR FITHIAN

MONTEREY, CALIFORNIA

SEPTEMBER 7, 2015

Reported by:  
KELLI A. RINAUDO  
CSR Lic. 6411 RMR, CRR, CCRR  
No. 15-36305B

1 we first talked you said you were the President of  
2 CFMG and, up until, I believe, the beginning of this  
3 year, the Medical Director; is that right?

4 A. That is correct.

5 Q. Okay. What were your -- as of 2013, what  
6 were your responsibilities as President of CFMG?

7 A. It was an administrative function.  
8 Involved in working with our executive team, Elaine  
9 Hustedt and Dan Hustedt, our new CEO, to help  
10 formulate plans to help give direction to how we  
11 were going to proceed in our contracts in  
12 California; to work in conjunction with Elaine, who  
13 was Vice President of Operations, and with Dan  
14 Hustedt, Vice President of Finance; to continue to  
15 try to grow in California; to provide input, follow  
16 up with our various contracts.

17 MR BERTLING: Okay. And let me just say  
18 that, for the purpose of this record, I want to be  
19 clear from here on out regarding what the purpose of  
20 this deposition is.

21 The court has given you some additional  
22 time to ask about specific documents that we had  
23 produced that were ordered by the court. That's  
24 what's going to happen during this deposition.  
25 While I just gave you some leeway in allowing him to

02:33

02:33

02:34

02:34

02:34

1 answer that question, the rest of this deposition  
2 needs to focus on what the judge said the purpose of  
3 this deposition was.

4 MS. RIFKIN: For the record, the court gave  
5 us an additional three hours to talk about other 02:35  
6 counties, the core policies and administration, how  
7 CFMG is essentially run, the practices of staff at  
8 the Monterey County Jail, and changes to policies  
9 and procedures at Monterey County Jail since Joshua  
10 Claypole's death. 02:35

11 You are welcome to instruct Dr. Fithian not  
12 to answer on any of those topics, Peter, and we will  
13 seek to bring him back.

14 MR BERTLING: That's fine.

15 MS. RIFKIN: We will seek costs and fees. 02:35

16 MR BERTLING: Go ahead. Because I think  
17 the judge made it clear that what he was allowing is  
18 you had talked about a training that was given, and  
19 he allowed there to be further questioning about  
20 that training. We were required to produce records 02:35  
21 regarding some of the contracts in the counties.  
22 You can ask Dr. Fithian about that.

23 But I'm just saying that I thought the  
24 judge made it very specific about what the purpose  
25 of this deposition was, and so let's just move 02:36

1 forward.

2 BY MS. RIFKIN:

3 Q. Okay. So when you said that part of your  
4 role as President is to formulate plans and give  
5 direction about how to proceed in California, can  
6 you be more specific about what "formulate plans"  
7 means?

02:36

8 MR BERTLING: Okay. I'm going to instruct  
9 him not to answer those questions. The judge also  
10 made it clear that this deposition was only limited  
11 to things that happened at the time Dr. Fithian was  
12 seeing Joshua Claypole. You had specifically  
13 requested about being able to ask about information  
14 beyond that point, and the judge did not grant your  
15 request.

02:36

16 So if you would like to focus it as to the  
17 time period the judge said, I'll let him answer  
18 those questions.

02:36

19 MS. RIFKIN: Well, Peter, you're wasting  
20 time. And I already asked Dr. Fithian: As of 2013  
21 what were your functions as President? I'm asking  
22 him a more specific question within that to define  
23 things. I'm just going to give you notice now that  
24 if you continue to engage in elaborate speaking  
25 objections, and waste the three hours allotted to

02:37

02:37

1 us, we will go back to the judge.

2 MR BERTLING: That's fine. Just go ahead  
3 and answer the question.

4 (Telephone interruption.)

5 MR. GRANT: This is Irv Grant.

02:37

6 MR BERTLING: It was the time as of  
7 Mr. Claypole's suicide which was in May of 2013.

8 BY MS. RIFKIN:

9 Q. So, Dr. Fithian, in your description of  
10 your roles as President as of 2013, one of the --  
11 some of the descriptions that you gave were to help  
12 formulate plans and to give direction about how to  
13 proceed in California. Can you be more specific  
14 about what that means as far as your role?

02:37

15 A. As you know, Counselor, California Forensic  
16 Medical Group is a corporation that focused on  
17 correctional health care, and so part of my role as  
18 the President was to help put together missions and  
19 visions regarding how we did that; to reinforce and  
20 establish our relationships with our customers, our  
21 clients; as President, to work with our providers in  
22 the spirit of cooperation and to provide input,  
23 supervision, whenever it was indicated or asked for;  
24 to work hand in hand with the other two executive  
25 officers, Dan Hustedt, Vice President of Finance,

02:37

02:38

02:38



1 MR BERTLING: The question is vague and  
2 ambiguous. Overbroad. Incomplete hypothetical.

3 But please answer the question, if you can.

4 THE WITNESS: Counselor, I'm not -- I don't  
5 think -- and, again, I may be wrong, but I don't  
6 think we have a policy that mandates we have 24-hour  
7 mental health coverage just solely based on the  
8 population of a jail.

03:28

9 BY MS. RIFKIN:

10 Q. Okay. And just to be clear, I'm not asking  
11 about 24-hour coverage, I'm asking about a mental  
12 health person being at the jail on weekends.

03:28

13 A. And my response would be the same.

14 Q. Okay. You did refer, a few minutes ago,  
15 that you were part of a discussion about staffing, I  
16 believe mental health staff on weekends at Monterey  
17 County Jail; is that right?

03:28

18 A. Yes.

19 Q. And to the best that you recall, when did  
20 that discussion begin?

03:29

21 A. Again, Counselor, I don't know when it  
22 began. I really don't know when it began.

23 Q. Do you know if it began prior to May 1st,  
24 2013?

25 A. No, I -- again, I don't know with any

03:29

1 certainty that it began before 2013. Staffing --

2 MR BERTLING: You have answered the  
3 question.

4 MS. RIFKIN: And I'll just make a note for  
5 the record of Counsel cutting off his client. 03:29

6 MR BERTLING: I didn't cut off the client.  
7 He had answered your question. The record speaks  
8 for itself.

9 BY MS. RIFKIN:

10 Q. Do you -- again, Dr. Fithian, I'll remind  
11 you I am entitled to your best estimate. 03:29

12 You have testified that you were a part of  
13 the discussions about whether or not to have mental  
14 health staff at Monterey County Jail on weekends.  
15 To the best of your recollection, did that  
16 discussion begin prior to May 1st, 2013? 03:29

17 A. You know, Counselor, again, I don't know  
18 when, in all honesty, those discussions began.

19 Q. Do you know -- well, was a change ever made  
20 at Monterey County Jail to have mental health staff  
21 there on weekends? 03:30

22 A. Yes.

23 Q. When was that change made?

24 A. Again, I can't answer that with any  
25 certainty when we began to have weekend coverage on 03:30

1 Behavioral Health, Public Health, et cetera.

2 MS. RIFKIN: Mark this as the next exhibit.

3 (Deposition Exhibit 112

4 was marked for identification.)

5 BY MS. RIFKIN:

04:07

6 Q. So you've been handed what's been marked  
7 Exhibit 112, which is Bates-stamped CFMG-JC 014027  
8 and 28. This is a portion of documents that were  
9 provided for the package for Butte County.

10 A. Yes, ma'am.

04:07

11 Q. And this is specifically in addition to  
12 scope of work for Butte County Juvenile Hall, I  
13 think. That's what it says anyway.

14 A. That's what it says, yes, ma'am.

15 Q. On the top of that page, under the very top  
16 provision:

04:07

17 "The addition to scope of work provides  
18 a policy that a ward shall be seen no later  
19 than the first scheduled sick call after  
20 his/her request is received by medical  
21 staff. Psychiatric complaints shall be  
22 screened daily."

04:07

23 Do you know how, either specifically for  
24 this contract or in general, CFMG would come to the  
25 determination of putting in a specific time frame

04:08

1 like that in a specific county?

2 MR BERTLING: Well, let me just object that  
3 it's vague and ambiguous. Calls for speculation.  
4 This is also a juvenile facility as opposed to an  
5 adult facility with different titles and statutes  
6 that may be involved.

04:08

7 But if you understand the question and can  
8 answer, please do, Dr. Fithian.

9 THE WITNESS: Sure. You know, I think,  
10 Counselor, what you see for Butte County Juvenile  
11 Hall is what we try to do everywhere, is that if  
12 someone has a psychiatric complaint, that we try to  
13 see them in a timely fashion. And we try to do that  
14 on the next scheduled sick call day provided by  
15 Mental Health by a psychiatrist. So although this  
16 is reflected in Butte County, I think that's a  
17 pattern of practice we try to do everywhere.

04:08

04:08

18 BY MS. RIFKIN:

19 Q. My understanding from our previous  
20 sessions, Dr. Fithian, is that there is no policy in  
21 Monterey County Jail about a time frame in which an  
22 inmate patient must be seen following a referral for  
23 mental health; is that accurate?

04:09

24 MR BERTLING: I think that misstates the  
25 doctor's testimony.

04:09

1 form used for suicide risk assessment at Monterey  
2 County Jail since May 1st, 2013?

3 A. I think we --

4 MR BERTLING: So what's the question?

5 BY MS. RIFKIN:

6 Q. Have there been any changes made to the  
7 suicide risk assessment form used by Monterey  
8 County -- or used at Monterey County Jail since May  
9 2013?

10 MR BERTLING: Are you talking about the  
11 nursing assessment or the intake triage?

12 MS. RIFKIN: I'm talking about the suicide  
13 risk assessment.

14 MR BERTLING: Well, the intake triage is a  
15 suicide risk assessment, that's why it's vague and  
16 ambiguous.

17 MS. RIFKIN: I'm asking Dr. Fithian.

18 THE WITNESS: There was, I think, a series  
19 of five questions that have been added to the intake  
20 triage form that have augmented the suicide risk  
21 factor, so I think that's been done.

22 And we have now R.N.s at the intake process  
23 24/7 to assist in the intake screening. And we have  
24 mental health staff on weekends.

25 BY MS. RIFKIN:

05:38

05:38

05:38

05:38

05:39

1 STATE OF CALIFORNIA )

2 ) ss.

3 COUNTY OF MONTEREY. )

4  
5 I, KELLI A. RINAUDO, do hereby certify:

6 That I am a duly qualified Certified  
7 Shorthand Reporter, in and for the State of  
8 California, holder of certificate number 6411, RMR,  
9 CRR, CCRR and CLR, which is in full force and  
10 effect, and that I am authorized to administer oaths  
11 and affirmations;

12 That the foregoing deposition testimony of  
13 the witness,

14  
15 DR. TAYLOR FITHIAN,

16  
17 was taken before me at the time and place herein set  
18 forth.

19 That prior to being examined, the witness  
20 named in the foregoing deposition was duly sworn or  
21 affirmed by me, to testify the truth, the whole  
22 truth, and nothing but the truth;

23 That the testimony of the witness and all  
24 objections made at the time of the examination were  
25 recorded stenographically by me, and were thereafter

1 transcribed under my direction and supervision;

2 That prior to the completion of the  
3 foregoing deposition, review of the transcript

4 \_\_\_\_\_ was requested;

5 X was not requested;

6 I further certify that I am not a relative  
7 or employee or attorney or counsel of any of the  
8 parties, nor am I a relative or employee of such  
9 attorney or counsel, nor am I financially interested  
10 in the outcome of this action.

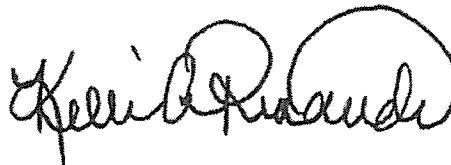
11 IN WITNESS WHEREOF, I have hereunto  
12 subscribed my name this 17th day of September, 2015.

13

14

15

16



17

KELLI A. RINAUDO  
California CSR No. 6411  
Washington CSR No. 3372  
RMR / CRR / CCRR / CLR

18

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20

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25

Rifkin Decl. in Supp of Mtn to Compel  
Discovery & for Sanctions

**Exh. I**



UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

--oOo--

Estate of JOSHUA CLAYPOLE,  
deceased, by and through SILVIA  
GUERSENZVAIG, as Administrator;  
SILVIA GUERSENZVAIG,

Plaintiff,

Vs.

No. CV 14-02730 BLF

COUNTY OF SAN MATEO; SHERIFF  
GREG MUNKS, in his individual  
and official capacity; COUNTY  
OF MONTEREY; SHERIFF SCOTT  
MILLER, in his individual and  
official capacity; SERGEANT E.  
KAYE, in her individual capacity;  
CITY OF MONTEREY; MONTEREY POLICE  
DEPARTMENT CHIEF PHILIP PENKO, in  
his official capacity; BRENT HALL,  
in his individual and official  
capacity; CALIFORNIA FORENSIC  
MEDICAL GROUP; DR. TAYLOR FITHIAN,  
in his individual capacity;  
COMMUNITY HOSPITAL OF MONTEREY  
PENINSULA; and DOES 1 through 30,

Defendants.

DEPOSITION OF

JASON GLEN ROOF, M.D.

SACRAMENTO, CALIFORNIA

OCTOBER 13, 2015

Reported by:  
SHARON CABELLO,  
CSR NO. 3080, Registered Professional Reporter  
JOB: 15-37266



KARYN ABBOTT  
& ASSOCIATES

COURT REPORTERS

70 S. Lake Avenue, 10th Floor

Pasadena, California 91101

Phone 213.749.1234 | 213.749.0644

1 weekends in 2015?

2 A Yes, he would have been aware that they were  
3 not present.

4 Q So in your opinion, given that Mr. Claypole  
5 was suicidal on the 1st, not suicidal, as you said, or  
6 reporting that he wasn't suicidal on the 2nd, suicidal  
7 on the 3rd, and reporting that he wasn't going to  
8 commit suicide on the 4th, was it a realistic  
9 possibility that he might once again become suicidal  
10 later that day or the next day?

11 A Yes.

12 Q What measures, if any, did CFMG or Dr. Fithian  
13 take to address that possibility?

14 MR. BERTLING: You mean other than the fact  
15 that he was in a lock down cell being monitored by  
16 custody?

17 MS. RIFKIN: Well, you are not testifying,  
18 Peter, so I --

19 MR. BERTLING: Well, I know I'm not  
20 testifying, I'm just asking him other than that, I  
21 mean --

22 MS. RIFKIN: Well, you don't ask me questions,  
23 Peter.

24 MR. BERTLING: You are excluding -- you don't  
25 tell me what to do. You are excluding everything else

1 that is part of the plan, the suicide prevention plan  
2 at the Jail --

3 MS. RIFKIN: Peter, I'm going to ask you to  
4 stop testifying and coaching you witness.

5 MR. BERTLING: I'm not testifying or coaching  
6 the witness. I am just saying you in your questioning  
7 are excluding everything that's part and parcel --

8 MS. RIFKIN: And make an objection, Peter.

9 MR. BERTLING: -- of the suicide prevention  
10 plan at the Monterey County Jail.

11 MS. RIFKIN: Peter, you can make objections --  
12 you haven't even made a recognizable objection at this  
13 point. If you continue to coach the witness, I have  
14 told you this in previous depositions repeatedly, I  
15 will seek sanctions.

16 MR. BERTLING: Do what you need to do, Lori.  
17 Ask your next question.

18 BY MS. RIFKIN:

19 Q So, Dr. Roof, you I believe answered --

20 Can we have the last question prior to  
21 Mr. Bertling speaking read back with the last answer,  
22 please.

23 (Record read by the reporter as follows:

24 "Q. So in your opinion, given that

25 Mr. Claypole was suicidal on the 1st, not

REPORTER'S CERTIFICATE

I, SHARON CABELLO, a Certified Shorthand Reporter of the State of California, duly authorized to administer oaths, do hereby certify:

That the foregoing proceedings were taken before me at the time and place herein set forth; that any witnesses in the foregoing proceedings, prior to testifying, were duly sworn; that a record of the proceedings was made by me using machine shorthand which was thereafter transcribed under my direction; that the foregoing transcript is a true record of the testimony given.

Further, that if the foregoing pertains to the original transcript of a deposition in a Federal Case, before completion of the proceedings, review of the transcript ( ) was ( ) was not requested.

I further certify I am neither financially interested in the action nor a relative or employee of any attorney or party to this action.

IN WITNESS WHEREOF, I have this date subscribed my name.

Dated: October 16, 2015

  
SHARON CABELLO CSR #3080

Rifkin Decl. in Supp of Mtn to Compel  
Discovery & for Sanctions

**Exh. J**

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

Estate of JOSHUA CLAYPOLE, )  
deceased, by and through SILVIA )  
GUERSENZVAIG, as Administrator; )  
SILVIA GUERSENZVAIG, )

Plaintiff, )

No. CV 14-02730 BLF

-vs- )

COUNTY OF SAN MATEO; SHERIFF )  
GREG MUNKS, in his individual )  
and official capacity; COUNTY OF )  
MONTEREY; SHERIFF SCOTT MILLER, )  
in his individual and official )  
capacity; SERGEANT E. KAYE, in )  
her individual capacity; CITY OF )  
MONTEREY; MONTEREY POLICE )  
DEPARTMENT CHIEF PHILIP PENKO, )  
in his official capacity; BRENT )  
HALL, in his individual and )  
official capacity; CALIFORNIA )  
FORENSIC MEDICAL GROUP; DR. )  
TAYLOR FITHIAN, in his )  
individual capacity; COMMUNITY )  
HOSPITAL OF MONTEREY PENINSULA; )  
and DOES 1 through 30, )

Defendants. )

DEPOSITION OF

WILLIAM TAYLOR FITHIAN, III, M.D.

As Person Most Knowledgeable 30(b)(6)

SAN JOSE, CALIFORNIA

JULY 31, 2015

Reported By:  
MARTHA RUBLE  
CSR-5145  
NO. 15-36222



KARYN ABBOTT  
& ASSOCIATES

COURT REPORTERS

70 S. Lake Avenue, 10th Floor  
Pasadena, California 91101

Phone 213.749.1234 | 213.749.0644

1 THE WITNESS: Custody staff were our partners in  
2 helping to determine an inmate's potential risk for  
3 suicide. They were trained. They are professionals.  
4 And so, yes.

5 BY MS. RIFKIN:

6 Q. As of 2013 did CFMG or Monterey County have a  
7 formal suicide risk assessment tool that it used?

8 A. Formal suicide what?

9 Q. Risk assessment tool that it used.

10 A. The intake health screening, the safety logs,  
11 the nursing assessment, our mental status examination  
12 provided by our providers, those were all a part of the  
13 formal assessment tools utilized.

14 MS. RIFKIN: Why don't we take a short break,  
15 about five minutes.

16 (Recess taken.)

17 BY MS. RIFKIN:

18 Q. Okay. We are going to switch gears for a second  
19 or maybe more than a second and talk about the intake  
20 health screening specifically.

21 A. Yes, ma'am.

22 Q. And do you know whose decision it was as between  
23 CFMG and the County of Monterey that custody officers do  
24 the initial health screening when an inmate comes into  
25 the jail?

1           A. You know, Counselor, that has been a pattern of  
2 practice since 1981. I think the intake health screening  
3 prior to coming on board was done by custody  
4 administration, custody staff. I think we continued that  
5 through the years.

6           MR. BERTLING: You've answered the question.

7           THE WITNESS: Did I answer the question?

8           MS. RIFKIN: Yes. Counsel, thank you for  
9 cutting off your client.

10          MR. BERTLING: Well, he has answered the  
11 question. I don't think you want him to ramble.

12          MS. RIFKIN: I don't think I would like you to  
13 advise your client on how to answer in the middle of him  
14 answering a question.

15          MR. BERTLING: I'm his attorney here to do what  
16 I think is appropriate to represent the best interests of  
17 my client. I'll continue to do that. Please move to  
18 your next question.

19 BY MS. RIFKIN:

20          Q. And I understand that that practice has recently  
21 changed; is that correct?

22          MR. BERTLING: I'm going to instruct him not to  
23 answer that question. It's irrelevant. It has nothing  
24 to do with the scope of this PMK designation.

25          MR. GRANT: I'm going to join in that objection.



1 BY MS. RIFKIN:

2 Q. And to the best of your recollection, what was  
3 the response from the individuals that you expressed  
4 these concerns to at Monterey County?

5 A. I think always with an understanding and  
6 appreciation we all shared the same concern.

7 Q. And prior to May 2013 do you recall whether any  
8 changes were made to that policy?

9 A. Any what?

10 Q. Whether any changes were made to that policy as  
11 a result of those concerns.

12 A. I don't believe so, Counselor.

13 MS. RIFKIN: I think this would probably be a  
14 good time for a lunch break.

15 MR. BERTLING: Do you have an estimated time of  
16 how much longer you're going to be when we return?

17 MS. RIFKIN: Well, you said that you wanted to  
18 go the full day and that to the extent that we ended the  
19 PMK depo and we were going to do his individual depo.

20 MR. BERTLING: No. You said to me that that  
21 wasn't going to happen. So we are not going to go that  
22 route today. We will finish the PMK deposition today.  
23 You required that he come back on August 31st for his  
24 deposition as a defendant in this case. And that's what  
25 we are going to do. So how much longer are you going to

1 have on the PMK, that's how long --

2 MS. RIFKIN: Counsel, that's directly  
3 contradicted by all of the written documents in which I  
4 said we would do our best to get as much done as possible  
5 today but I doubted we were going to finish his  
6 individual deposition.

7 MR. BERTLING: So he is not starting an  
8 individual depo today. We are doing PMK today. He's  
9 coming back for his defense deposition on the 31st.

10 MS. RIFKIN: Counsel, let me just ask you a  
11 practical question. Since you're instructing him not to  
12 answer certain questions, and CFMG has not produced all  
13 of its electronic discovery yet, and there may be  
14 questions as a PMK that he may be required to answer  
15 after the hearing, that would -- if we don't start his  
16 individual deposition today, that would potentially mean  
17 we would need to bring Dr. Fithian back for a third day.

18 MR. BERTLING: We are going to cross that bridge  
19 if we come to it. I don't think that's going to happen.  
20 If there are any other questions, they are going to be  
21 very limited. I've only instructed him not to answer two  
22 questions.

23 MS. RIFKIN: So far. There are questions I  
24 haven't asked because you said those were off limits.

25 MR. BERTLING: I mean we are going to finish him

1 today as a PMK. So based on the questions that you have  
2 him as a PMK --

3 MS. RIFKIN: That's fine, Counsel. I just want  
4 it clearly stated on the record that we have consistently  
5 offered to begin his individual PMK today if we  
6 completed -- I mean, his individual deposition if we  
7 completed his PMK in less than a full day, and that we  
8 are willing to do so, and it is your choice that you're  
9 not allowing us to start doing that. I just want that on  
10 the record.

11 MR. BERTLING: That's fine. And I also think  
12 that the record -- the written record establishes the  
13 position we have taken on this.

14 But I appreciate that, Lori. I'm just asking or  
15 letting you know we are going to finish him today as a  
16 PMK, hopefully. And I'm just trying to get an estimate  
17 for the doctor's sake, his personal scheduling, of how  
18 much longer you anticipate being.

19 MS. RIFKIN: I anticipate that we might go the  
20 full time.

21 MR. BERTLING: Okay. That's --

22 MS. RIFKIN: I'm not sure. But I don't go for  
23 the full time for the sake of going the full time, but I  
24 don't know.

25 MR. BERTLING: We have got your answer.

DEPOSITION OFFICER'S CERTIFICATE

State of California )

County of Santa Clara )

I, Martha Ruble, hereby certify:

I am a duly qualified Certified Shorthand Reporter in the State of California, holder of certificate number 5145, issued by the Court Reporters Board of California and which is in full force and effect. (Bus. & Prof. Section 8016)

I am not financially interested in this action and am not a relative or employee of any attorney of the parties, or any of the parties.

(Civ. Proc Section 2025.320 (a))

I am authorized to administer oaths or affirmations pursuant to California Code of Civil Procedure, Section 2093 (b), and prior to being examined, the witness was first duly sworn by me.

(Civ. Proc, Section 2025.320, 2025.5400 (a))

I am the deposition officer that stenographically recorded the testimony in the foregoing deposition, and the foregoing transcript is a true record of the testimony given by the witness.

(Civ. Proc. Section 2025.540 (a))

1 I have not, and shall not, offer or provide any  
2 services or products to any party's attorney or third  
3 party who is financing all or part of the action without  
4 first offering same to all parties or their attorneys  
5 attending the deposition and making same available at the  
6 same time to all parties or their attorneys.

7 (Civ. Proc. Section 2025.320 (b))

8 I shall not provide any service or product  
9 consisting of the deposition officer's notations or  
10 comments regarding the demeanor of any witness, attorney,  
11 or party present at the deposition to any party or  
12 party's attorney or third party who is financing all or  
13 part of the action, nor shall I collect any personal  
14 identifying information about the witness as a service or  
15 product to be provided to any party or third party who is  
16 financing all or part of the action.

17 (Civ. Proc. Section 2025.320 (b))

18  
19 Dated: August 14, 2015

20  
21   
22

23 Martha Ruble

24 CSR License No. 5145

25 \* \* \*

Rifkin Decl. in Supp of Mtn to Compel  
Discovery & for Sanctions

**Exh. K**

**LORI E. RIFKIN**

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**EXPERIENCE**

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**RIFKIN LAW OFFICE, Oakland, CA**

***Principal*, 2013-current**

Founder of law practice focusing on civil rights litigation in federal and state court. Specific areas include: prisoners' rights; police misconduct; juvenile justice; discrimination based on race, ethnicity, sex, gender, sexual orientation, gender identity, religion, disability, age; First Amendment; employment law. Representative work includes: excessive force litigation; jail wrongful death cases; co-counsel representation of statewide class of prisoners with mental illness; student harassment & discrimination; employment contract advice & negotiation.

**CIVIL RIGHTS DIVISION, U.S. DEPARTMENT OF JUSTICE, Washington, D.C.**

***Senior Trial Attorney, Special Litigation Section* 2010-2013**

Investigated and litigated civil rights violations by state & local correctional institutions, juvenile justice agencies, and law enforcement agencies; investigated and litigated violations of Freedom of Access to Clinic Entrances (FACE) Act. Representative on Civil Rights Division-wide working group on LGBT issues and DOJ-wide working group on gender-biased policing issues.

**LEGAL AID SOCIETY-EMPLOYMENT LAW CENTER, San Francisco, CA**

***Attorney*, 2009-2010**

One-year litigation position at organization primarily representing low-income workers. Developed and litigated actions addressing employment discrimination based on gender identity; race & national origin; and pregnancy & sex. Drafted federal complaints on behalf of class of domestic partners & same-sex spouses excluded from public employee long-term care program, and on behalf of pregnant employee refused accommodations and terminated.

**AMERICAN CIVIL LIBERTIES UNION OF SOUTHERN CALIFORNIA, Los Angeles, CA**

***Staff Attorney*, 2008-2009**

Litigation and advocacy with focus on LGBT rights and women's rights. Litigated and settled action against school district regarding discrimination and harassment; litigated and settled action against city regarding criminalization of chronically homeless individuals; litigated successful action against state agency to protect informational privacy regarding HIV status. Other litigation and advocacy issues included Proposition 8 (CA same-sex marriage) and relationship recognition, discrimination and harassment in employment and public accommodations, treatment of LGBT individuals in prison, student free speech rights, and sexual health education in schools.

**ROSEN, BIEN & GALVAN, LLP, San Francisco, CA**

***Associate Attorney*, 2006-2008**

Represented plaintiffs in civil rights cases including federal class actions on behalf of state inmates with mental illness and state parolees. Litigated statewide prisoner release order before federal three-judge panel affirmed by the U.S. Supreme Court in *Brown v. Plata*, actively involved in formulating trial strategy, prepping expert reports, and conducting discovery including court appearances, briefing, and depositions. Wrote briefs and supporting declarations successfully moving for referral of prisoner release order to three-judge panel. Drafted briefs, declarations, and motions at trial and appellate levels; conducted prison monitoring tours and inmate interviews; worked with Special Master and court expert teams; negotiated with State defendants.

**AMERICAN CIVIL LIBERTIES UNION OF CONNECTICUT, Hartford, CT**

***Staff Attorney*, 2004-2005**

Responsible for investigating, negotiating, and litigating broad spectrum of civil rights claims including First Amendment, sex discrimination, marriage equality, and prisoners' rights. Drafted legislative testimony, supervised law student interns, performed community outreach and education, oversaw intake process, and represented ACLU-CT on statewide coalitions.

**SOUTHERN POVERTY LAW CENTER, Montgomery, AL**

**Law Clerk**, June-August 2003

Investigated potential lawsuits based on private race-based harassment, prisoners' rights, and Title IX discrimination in secondary school sports. Researched legal questions and wrote arguments for ongoing litigation involving separation of church and state, juvenile justice, and federal habeas appeals.

**AMERICAN CIVIL LIBERTIES UNION, New York, NY**

**Law Clerk, Lesbian & Gay Rights and AIDS Projects**, February-August 2002, February-May 2003

Researched and wrote legal memoranda for cases related to sexual orientation and gender in areas such as employment discrimination, relationship recognition and family law, Eighth Amendment claims, schools and youth, and AIDS. Wrote prototype letters to schools about dress codes, completed survey of public accommodations law as it covers sexual orientation.

**Legal Intern, National Legal Department, Race & Poverty Docket**, September 2002-May 2003

Worked with attorney Vince Warren to research litigation strategies around racially discriminatory effects of "zero tolerance" disciplinary measures in public schools. In conjunction with Brennan Center Public Policy Advocacy Clinic.

**EDUCATION**

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**NEW YORK UNIVERSITY SCHOOL OF LAW, New York, NY**

Academics: J.D. Degree, May 2004.

Honors: Eric Dean Bender Prize (commitment to public service-oriented cause outside of Law School commitments, awarded for activism on *Solomon* amendment issues)  
Dean's Scholarship Recipient, scholarship based upon academic merit  
Workshop Leader, Yale Law School Rebellious Lawyering Conference 2003

Clinics: Criminal Appellate Defender Clinic (with the Office of the Appellate Defender), Fall 2003  
Brennan Center Public Policy Advocacy Clinic, Fall-Spring 2002-03

Note: *Outing the Judicial Process: How and Why Judges Foreclose Title VII Sex Stereotyping Claims for Nonheterosexual Plaintiffs*

Activities: OUTLaw, Programming Chair; Straights and Queers United Against Discrimination, Organizer  
Coalition for Legal Recruiting (faculty diversity); Law Women  
IMPACT Caravan, Spring 2004 (felon re-enfranchisement and restoration of civil rights work in Florida)

**HARVARD UNIVERSITY, Cambridge, MA**

Academics: A.B. Degree *magna cum laude*, Social Studies and Women's Studies, June 2000

Honors: Harvard College Scholarship and Agassiz Certificate of Merit for academic achievement

Activities: Radcliffe Rugby Team, Forward Captain. 1998 National Champion Team  
Harvard Speech and Parliamentary Debate Society, Executive Board Member  
Harvard Women's Leadership Conference; Organizer, high school conferences on feminism

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**BAR ADMISSIONS**

Ninth Circuit Court of Appeals; Eastern District of California, Northern District of California, Central District of California, District of Connecticut; California State Bar; New York State Bar; Connecticut State Bar

**SELECTED AWARDS**

2010 Public Justice Trial Lawyer of the Year Finalist (recognized as part of team litigating California prison overcrowding)

2012 National LGBT Bar Association Best LGBT Lawyers Under 40 Award

**ADDITIONAL INFORMATION**

Hometown: Rockford, Illinois